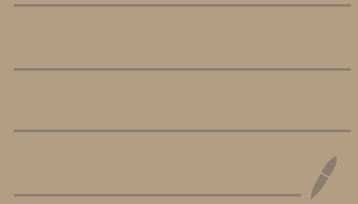
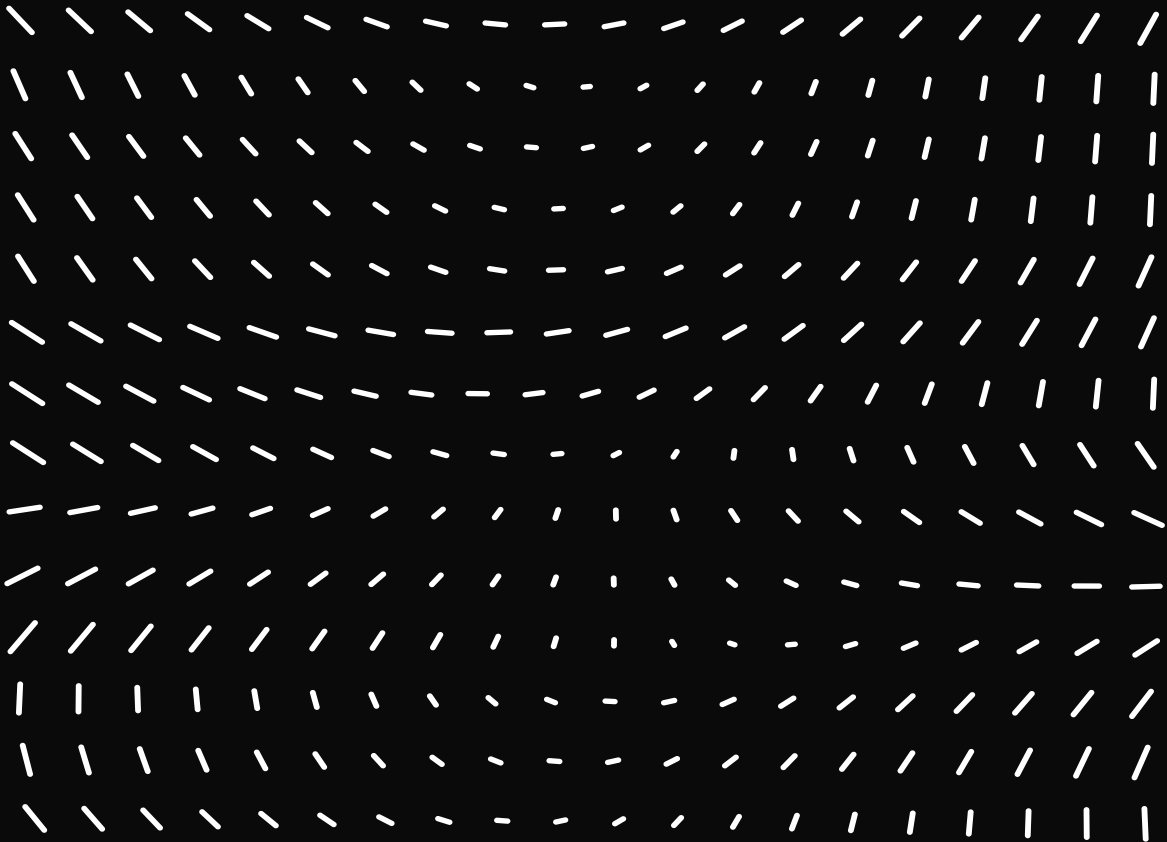


CISC 235



Lecture Notes



Lecture 1: Data Structures and Algorithm Complexity

What is a data Structure

- data structure is a way to store, organize and manage data efficiently
- implemented as an **Abstract Datatype**

Organizing Data: Bookshelf Analogy

- Random order, Easy to insert, hard to search
- Alphabetical order, Harder to insert, faster to search
- categorized + Alphabetical, Best for both

Algorithm Complexity & Efficiency

- Time Complexity → How fast an algorithm runs
- Space Complexity → How much memory an algorithm uses
- Experimental → Run program, measure time
- Theoretical → Use Big-O Notation

Linear Search vs. Binary Search

- Linear Search: checking every book one by one
 - Best case: **First book**
 - worst case: Not in list ($O(N)$)
 - Average case: **$N/2$ steps**
- } Bad for large inputs

• Binary Search

- Splits the list in half, compare, half again
- worst case: $O(\log N)$
- Binary Search is exponentially faster than linear search

Books (N)	Linear Search (Worst Case)	Binary Search (Worst Case)
16	16 steps	4 steps
1,024	1,024 steps	10 steps
131,072	131,072 steps	17 steps

- Algorithms + Data Structures, work together to make efficient algorithms.

Lecture 2: Data Structure and Program Complexity

Computational Complexity

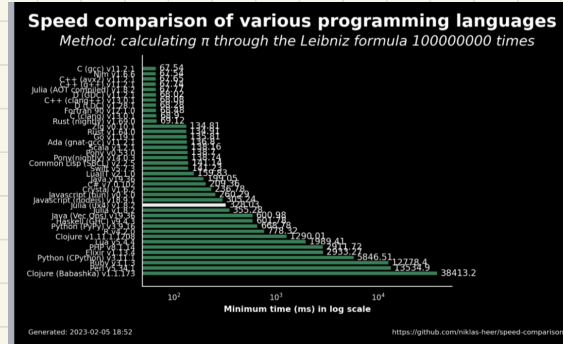
→ Helps us choose best algorithm

- Is the measure of how much time and space an algorithm requires
- To measure complexity, use **theoretical analysis (Big O Notation)** and use **experimental analysis**.

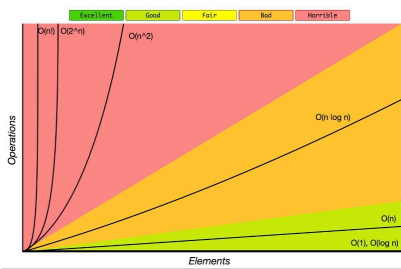
Algorithm analysis

- understanding resources that the algorithm takes
- Time Complexity (run time)
- Space Complexity (memory)

Note: Typically low level, compiled languages tend to run faster, higher level languages are usually slower.



Big-O Complexity Chart



$O(1)$	constant
$O(\log n)$	logarithmic
$O(n)$	linear
$O(n \log n)$	"n log n" or linearithmic
$O(n^2)$	quadratic
$O(n^3)$	cubic
$O(2^n)$	exponential

Big-O, Big-Omega, Big-theta

- $O(g)$: Upper bound on how quickly the function grows (worst scenario)
- $\Omega(g)$: Lower bound on how quickly the function grows (best scenario)
- $\Theta(g)$: Tight bound on how quickly the function grows (best and worst)

Note: To prove big theta prove big O and big-omega first.

Growth Rate

- Look at most dominant n term
- growth factor is calculated by dividing the functions value at n by its value at $n/2$. This shows how much function increases when input size doubles.

Counting Operations

```

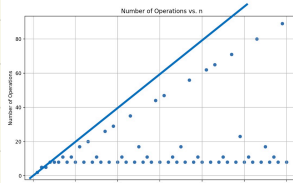
Al:
total = 0 → 1
for i = 1 to n:
    total = total + i → 2n
    
```

$$T_{Al}(n) = 1 + (2n+2) + 2n$$

$$T_{Al}(n) = 4n + 3$$

Empirical Data, blue dots.
 $f(n) \approx 2n$ for $n \geq 3$
 C is scaling factors

Let's plot how $f(n)$ grows when increasing n



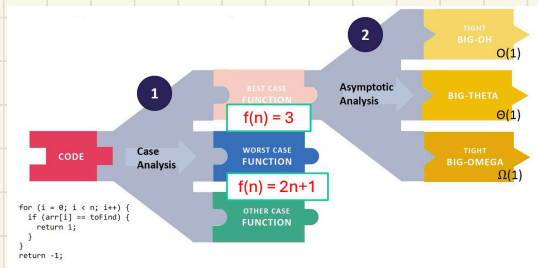
<- If you try to calculate the number of operations for n ranges from 1 to 60
 Is 1 prime? False. Number of operation
 Is 2 prime? True. Number of operation
 Is 3 prime? True. Number of operation
 Is 4 prime? False. Number of operation
 Is 5 prime? True. Number of operation
 Is 6 prime? False. Number of operation
 Is 7 prime? True. Number of operation
 Is 8 prime? False. Number of operation
 Is 9 prime? False. Number of operation
 Is 10 prime? False. Number of operation
 ...

Is the runtime $O(1)$ or $O(n)$?
 How about $c = 2$ and $n_0 = 3$?
 $f(n) \leq 2n$ for $n \geq 3$



? Could $n_0 = 4$?
 100?
 Could $c = 3$?
 100? 27

Perform Case Analysis when Comparing Functions



* Appendix TRICKS PROOFS

Lecture 3 - List

Linear data structures: List, Stack, Queue

Non-linear ds: trees, graphs

Abstract data type (ADT) - Concept that defines what operations can be performed on the ds, for example a List is an ADT, but linked list / Array are implementation.

ADT - has Data Properties and Operations

Data Properties include: items one after another, specific position, can have data

Basic Operations

- Add elements
- Delete elements
- Access elements by position
- Traverse through elements

- Python list has contiguous memory locations
- Python list is an array of pointers, to memory
- Python dynamically resizes lists

Big O - Complexity of List Ops

Useful list methods

- `append(x)`
- `insert(i, x)`
- `remove(x)`

NON mutating		mutated	
<code>len(data)</code>	$O(1)$	<code>data[i] = val</code>	$O(1)$
<code>data[i]</code>	$O(1)$	<code>data.append(val)</code>	$O(1)$ *
<code>.count</code>	$O(n)$	<code>data.insert(val)</code>	$O(n)$
<code>.index(value)</code>	$O(k+1)$	<code>data.pop(val)</code>	$O(1)$
Val in data	$O(n)$	<code>.reverse()</code>	$O(n)$
<code>data1 + data2</code>	$O(n1+n2)$	<code>.sort()</code>	$n \log n$

Non mutating Operation - Does not modify original list

Mutating operation - Modifies original list

Linked Lists

- Collection of nodes that stores data and pointer
- Do not use contiguous memory
- First and last nodes are head / tail



Fast inserts / Deletes

Slow accessing / searching

Common Operations

Traverse	$O(n)$
insert	$O(1)$
remove	$O(1)$
Check empty	$O(1)$
get size	$O(n)$

Lecture 4: Stacks and Queues

Stacks

- LIFO, Last in, First out

- reverse a word
- undo functions

Operations	T.C
push(e)	O(1)
pop()	O(1)
top()	O(1)
is_empty()	O(1)
len(s)	O(1)

Implementing a Stack using Linked List

- Stack follows LIFO
- The head is used at the top of the stack
- push happens at the head O(1) time
- pop happens from head at O(1) time

Infix, Prefix and Postfix Notation

- Infix: operators between operands $\rightarrow A + B$
- Prefix: operators before operands $\rightarrow +AB$
- Postfix: operators after operands $\rightarrow AB +$

General notes:

- The order of operands does not change
- recent high precedence operator will be used first

Infix \rightarrow Postfix

- 1) identify precedence
- 2) convert by switching operation order

Ex $A + B * C \rightarrow A + BC * \rightarrow ABC * +$

$(A - B) * (C + D) \rightarrow AB - (AB -)(C + D) *$

$\hookrightarrow CD + \quad AB - CD + *$

Using a Stack, you read in all operands first then, pop operators in precedence level order

Practise

Ex 1 infix to postfix

$$A + B * C - D$$

$$BC *$$

$$A + BC *$$

$$ABC * +$$

$$ABC * + D -$$

$$2) (A + B) * (C - D)$$

$$AB +$$

$$CD -$$

$$AB + CD - *$$

$$3) A * B + C / D - E$$

$$AB *$$

$$CD /$$

$$AB * CD / + - E$$

$$AB * CD / + E -$$

Postfix to infix

$$1) AB + C -$$

$$(A + B) - C$$

$$2) A B C + *$$

$$A * (B + C)$$

$$3) AB * CD / E - +$$

$$(A * B) + (C / D) - E$$

$$4) ABC * + D -$$

$$(A + (B * C)) - D$$

$$5) AB + CD - *$$

$$(A + B) * (C - D)$$

Lecture 5 - Queue implementation

- Queue is a FIFO data structure
- Elements are added to the back, enqueue and removed from the front, dequeue.

Note: Also an ADT, can be implemented with linked lists

Common Operations

enqueue() - $O(1)$

dequeue() - $O(1)$

first() - $O(1)$ → gets first in line

is_empty() - $O(1)$

size() - $O(1)$

Array-based Queue

•

2	7	4	5
---	---	---	---

Last → 5, 4, 7, 2. First

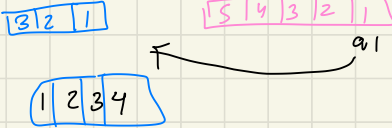
The dequeue operation requires $O(n)$ time because you shift everybody up a spot.

Linked-list Based Queue

- Uses a linked list for efficient insertions and deletions
- enqueue() and dequeue are both $O(1)$
- Due to, only the pointer needing to be re-referenced

Implementing Queues with Two Stacks

- You can use two queues to mimic stack operations
- Pushing adds new elements to queue 1
- Popping moves elements from queue 1 → queue 2

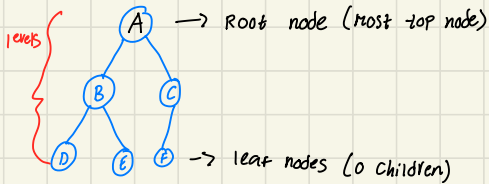


- You either make push costly or pop costly by making it $O(n)$.

Lecture 6 - Tree

- A tree is a special type of non-linear data structures (linked data structure)
- Useful in databases, AI, etc

BASIC TREE CONCEPTS



Key Defs:

Nodes - building block of tree

Root - top most node

Children - directly under a node

leaf node - node with 0 kids

Types of Trees

- 1.) General tree - each node can have any number of children
- 2.) Binary tree - Each node has at most 2 children
- 3.) BST (Binary Search Tree) - Left child < parent, Right child > parent
- 4.) Balanced Trees (AVL, Red-Black Trees) - self balancing to keep operations efficient

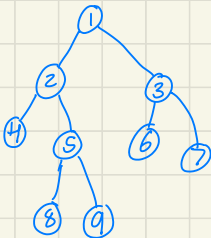
Note: Trees must have a unique

Singular path from root to any node

More Key Terminology

- Edge, connection between two nodes
- path, sequence of edges
- Height of node, number of edges on longest path down to a leaf
- Height of tree, height of the root node
- Depth - number of edges from node to the root
- Level - number of connections between node and root + 1

Ex



root: 1

leaves: 8, 9, 4, 6, 7

6 is level 3

depth of 5 is 2

Height of tree is 3

Level of 9 is 4

Note: height is max level - 1

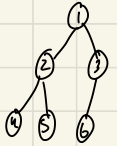
lecture 7 - Binary Trees

Binary Trees

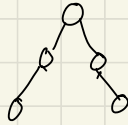
- A binary tree is hierarchical data structure
- Each node has max 2 children: left and right
- Nodes can be linked using pointers in Python

Types of Binary Trees

- Full binary trees, every node has either 0 or 2 children
- Complete Binary Tree, all levels are completely filled, except maybe last, should still have left to right rule
↳ if it's not full, it should read left to right.



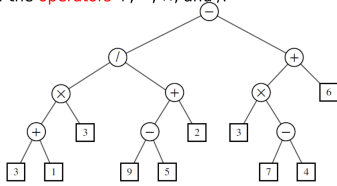
✓ valid
complete
tree



✗ invalid
incomplete
tree

Binary, Arithmetic Expression Tree

An arithmetic expression can be represented by a binary tree whose **leaves** are associated with variables or constants, and whose **internal nodes** are associated with one of the **operators** +, -, ×, and /.



$$(((3+1) \times 3) / ((9-5)+2)) - ((3 \times (7-4)) + 6)$$

5

Operations on Binary Trees

- Traversing (visiting all the nodes)
- Searching (find node)
- Adding (add node)
- Removing (delete node, don't break structure)
- Pruning (remove subtree)
- Grafting (attach subtree)

4 ways to traverse Binary Tree

a) Pre-order Traversal

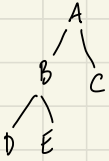
- visit root \rightarrow left \rightarrow right



pre-order: ABDEC

b) In order Traversal

- visit left \rightarrow Root \rightarrow Right
- useful for sorting (BST)

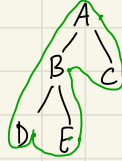


output: DBEAC

Note: Every traversal operations runs in $O(n)$ time.

c) Post order Traversal

- visit left \rightarrow right \rightarrow root
- used for deleting tree

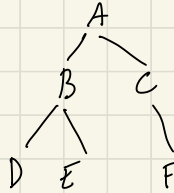


output: DEBCA

Start bottom left, visit root last

D) Level-order Traversal (BFS)

- visit nodes level by level from left to right, top down
- uses queue instead of recursion



output: ABCDEF

Top-down

Lecture 8 - BST / Operations

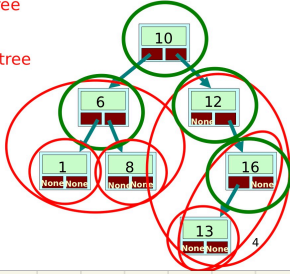
Binary Search Tree Overview

- Each node has at most two children
- left subtree contains nodes with values smaller than node
- right subtree is greater than its parent
- Many BST's excludes duplicates to maintain efficiency.

For every node X in the tree:

- All nodes in X's left sub-tree must be less than X.
- All nodes in X's right sub-tree must be greater than X.

Let's validate that this is a valid BST...



Operations on a Binary Search Tree

- Search
- insert
- delete
- Traversal

BST Traversal & In order Traversal

- to print elements in sorted order, we use in-order traversal

- 1.) visit left subtree
- 2.) Process current node
- 3.) visit right subtree

Note: would print correctly in alphabetical order

Searching in a BST

- Start at the root
- if $v == \text{root.value}$, found
- if v is less than current nodes value, go left
- if v is greater than current nodes value, go right
- if None object, not found

Best Case: (Balanced BST): $O(\log n)$

Worst Case: (unbalanced BST): $O(n)$

- Balanced BST, tree where both sides are approx. the same

Note: to print elements in sorted order, we use in order traversal
left subtree, value, right subtree

Inserting a new Value to BST

- Start at root
- if $V < \text{node.value}$ \rightarrow go left
- if $V > \text{node.value}$ \rightarrow go right
- Find empty spot and insert V .

Best case: $O(\log n)$ \rightarrow because binary search is used for sort
worse case: $O(n)$

Deleting Node in BST

- has 3 cases

case 1: Node has no children

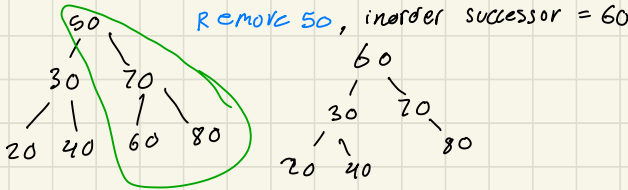
- Just remove node

Case 2: Node has 1 child

- Replace the node with its child

Case 3: 2 children

- Find inorder Successor (smallest node in right tree)
- replace nodes value with successor and delete



Finding Min & Max BST

- min value: leftmost node
- max value: rightmost node

Time complexity: $O(\log n)$, $O(n)$
 ↓ ↓
 most times worst case

Pruning BST

- keep values within range
- Pruning removes nodes, but depending on the conditions

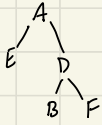
Lecture 9 - BST's Examples

Recursive vs. Iterative

- Recursive, shorter easier to understand but uses extra memory in the call stack
- Iterative, uses while loop instead of recursion, no additional memory usage but more code.

Saving Tree: Pre order

Root \rightarrow left \rightarrow right



AEDBF

Also store each nodes value with extra info

$(A, 2), (E, 0), (D, 2)$

How many children each node has

Expression tree:

infix:
$$\left(\frac{(3+1) \times 3}{(9-5) + 2} \right) - \left((3 \times (7-4)) + 6 \right)$$

Saving & Restoring BST's

- Serialization is useful for:
 - Storing BST in file
 - Transmitting across network
 - Reconstructing BST
- Use tree traversal techniques to save the structure.

Lecture 10: AVL Trees (Balanced BST's)

- AVL Tree's are self balancing BST's
- When inserting a value into BST, it may become unbalanced leading to inefficient operations $O(\log n)$ > $O(n)$
better worse
- AVL Tree's ensure trees are balanced

What is an AVL Tree

- AVL Tree is a BST that automatically balances using rotations
- Key Properties: every node keeps balance factor of $-1, 0$ or 1 .
- Balance Factor: $BF(T) = \text{Height of Right Subtree} - \text{Height left subtree}$
 - $BF(T) > 1 \rightarrow$ right heavy (rotation needed)
 - $BF(T) < 1 \rightarrow$ left heavy (rotation needed)
 - $BF(T) \in \{-1, 0, 1\}$, tree is balanced

Height(x) = max(left tree, right tree) + 1 (note: height for empty tree is -1)

AVL Tree Properties

- Maintains height balance: ensure tree's height remains $O(\log n)$
- Balance Factor is always $-1, 0, 1$
- Self-balancing, after insertions/deletions, the tree performs rotations to restore balance
- Height of an AVL Tree's with n nodes is $O(\log n)$
- Recursive Formula for minimum number of nodes: $n(h) = 1 + n(h-1) + n(h-2)$

AVL Tree Rotations

- When the tree becomes unbalanced, rotations restore balance

Types of Rotation

1) Single Rotation (LL or RR)

- LL - perform right rotation
- RR - perform left rotation

2) Double Rotation (LR or RL)

- LR - perform Left rotation, then right
- RL - perform right rotation, then left

Visualizations for Rotations

Left-Left or Right-Right

Happens when:



Perform left rotation on smallest



Left-Right / Right-Left rotation

Happens when:

Requires double rotation



Right rotation at T
Left rotation at M

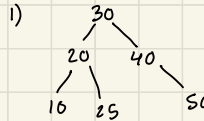
AVL Tree Insertion Algorithm

- Perform BST Insertion
- Update height of ancestor nodes
- Check balance factor for each
- Perform rotations, if needed
- Search: $O(\log N)$
- Insertion: $O(\log N)$
- Rotation: $O(1)$

In AVL Trees, you check for balance after every insertion/deletion.

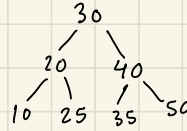
Note: Deleting from a AVL Tree and standard BST Tree is the same. Broken into 3 cases, but check if tree is still balanced after every deletion.

Practise



H	BF
30: 2	1-1=0
20: 1	1-1=0
40: 1	1-0=1
10: 0	0-0=0
25: 0	0-0=0
50: 0	0-0=0

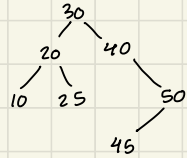
2) Inserting 35 and check balance



Can see its balanced but just to check

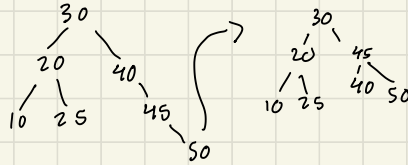
H	BF
30: 2	0
20: 2	0
40: 1	0
10: 0	0
25: 0	0
35: 0	0
50: 0	0

3) Insert 45, cause imbalance



H	BF
30: 3	1
20: 2	0
40: 2	2
10: 0	0
25: 0	0
35: 0	0
50: 1	-1
45: 0	0

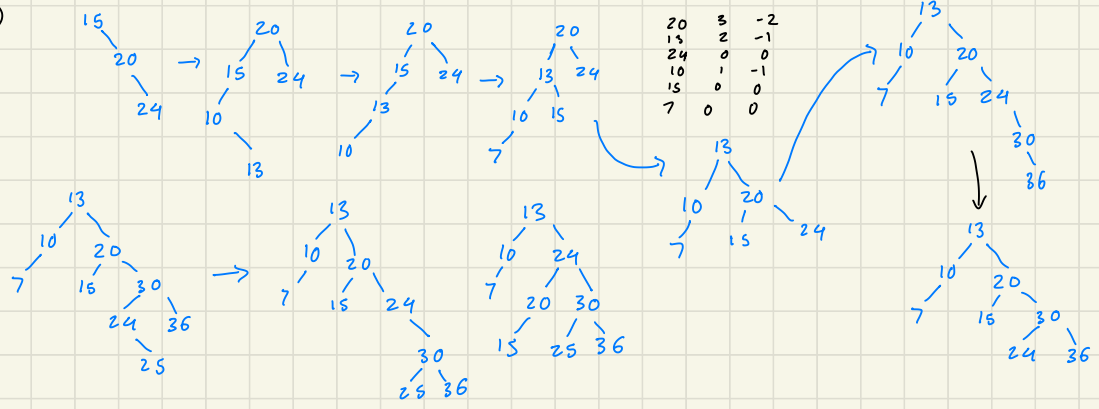
right left case required



Full AVL Tree Insertion

• Insert 15, 20, 24, 10, 13, 7, 30, 36, 25 into an empty AVL tree

1)



Lecture 12: Priority Queue and Heap

Topic 5:

- Priority queue vs queue?
- Priority queue vs Heap?
- Types of Heap
- Max Heap Implementation

ADT Priority Queue

- Queue follows FIFO Principal. Usefull in fair Scenarios.
- Some cases require exceptions to FIFO
- **Priority queue** is a special type of queue where each item has a **Priority rating**.
- Item with **highest priority gets taken out first**.

Priority Queue Operations

- 1) Insert new item to queue
- 2) Get value of highest priority item
- 3) Remove the highest-priority item from queue

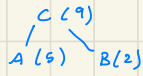
Note: If two elements have same priority, they are processed in their order of arrival (FIFO)

Implementation

- Can group by Priority, High-Medium-Low
- If we have huge number of priorities, we use Heap Data structure (more efficient way to manage)
- Heap allows fast access to highest priority item, using binary tree.

Ex

A = priority 5
B = priority 2
C = priority 9



- Removing item from Heap removes highest priority item first.

Heaps and Complete Binary Trees

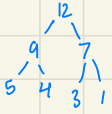
- Heap is special type of complete binary tree
- all levels except the last one are completely filled
- Last level filled left to right

Types of Heaps: Miniheap vs Maxheap

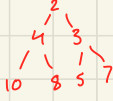
1) Maxheap - largest value is always at root of tree

2) Miniheap - Smallest value is always at the root of tree

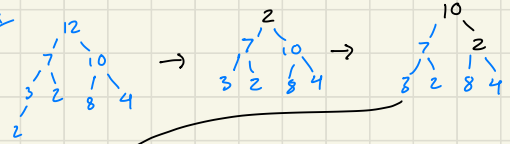
Maxheap



Miniheap



Ex



Extracting Maximum (Heapify Down)

- Remove largest element;
 - 1) Replace root with last node in heap
 - 2) Remove last node
 - 3) sift down the new root (swap with largest child)

• Heapify Down - used to remove max value

• Note: In max_heapify(a, heapSize, i):
 Left side = $2 \times i$, Right side = $2 \times i + 1$
index index

Challenges in Using an Array for Heaps

- Finding bottom most right node
- Finding bottom most left most open spot
- Locating nodes parents for swaps
- Use array, not linked list

Code version

Extract Item from Heap

1. If the `len(heap) == 0` (it's an empty tree), return error.
2. Otherwise, `heap[0]` holds the biggest value. Remember it for later.
3. If the `len(heap) == 1` (that was the only node) then delete the only value and return the saved value.
4. Copy the value from the right-most, bottom-most node to the root node:
`heap[0] = heap[-1]`
5. Delete the right-most node in the bottom-most row: `del heap[-1]`
6. Repeatedly swap the just-moved value with the larger of its two children:
 Starting with `i=0`, compare and swap:
`heap[i]` with `heap[2*i+1]` and `heap[2*i+2]`
7. Return the saved value to the user.

heap	
0	12
1	7
2	10
3	3
4	2
5	8
6	4
7	2
8	1
9	
10	
11	
12	
13	
...	

Storing Heap in Array

- Using level order traversal
- root node can be 0/1
- Left index = $2i$ / $2i+1$
- Right index = $2i+1$ / $2i+2$
- parent of node is $\text{index} // 2$

Code

version

Heapify UP

- Inserting into heap
- Step 1: Add new elements at available index
- Step 2: Compare with its parent then swap (if greater)
- Step 3: Repeat until heap is sorted

Adding a Node to a Maxheap

1. Insert a new node in the bottom-most, left-most open slot:
`heap.append(value)`
2. Compare the new value `heap[-1]` with its parent's value: `heap[(len(heap)-1) // 2]`
3. If the new value is greater than its parent's value, then swap them. (we don't need to care other nodes, why?)
4. Repeat steps 2-3 until the new value rises to its proper place or we reach the top of the array.

0	10
1	7
2	8
3	3
4	
...	

Time Complexity of Heap Operations

- Insertion (Heapify up) - $O(\log n)$
- Extraction (Heapify down) - $O(\log n)$
- Reason: Heap is binary tree, height of balanced tree is $\log_2(n)$

Searching in Heap

- BST allows efficient searching because of total ordering
- Heaps don't have full ordering, just ensures parent is greater/smaller than children
- Searching in heap takes $O(n)$ time.

Heapsort

- Insert all N numbers into a maxheap (heapify up process)
- while elements remain:
 - remove max value (root)
 - place in last open slot in array

• naive heapsort can take $2 \times n \log n = O(n \log n)$

- Optimized way:

- 1.) Build heap in $O(n)$ using heapify down process
- 2.) Extract elements normally ($O(n \log n)$)

Reduces to $n \log n$ but better constant factor

Max-Heap

- type of binary heap
- How to convert randomly arranged input into max-heap

To convert an unsorted array into a Max heap, we use Heapify.

1.) Start from last non leaf node (bottom most, right most)

last non-leaf node - index $\lfloor n/2 - 1 \rfloor$

2.) visualize array as tree

3.) Convert each subtree to maxheap

4.) move upwards to root

5.) keep shifting values down

- locating child at index $(N/2 - 1)$, allows us to skip so. of subtrees

for $currNode = \lfloor N/2 - 1 \rfloor$ to 0:
heapify(currNode)

Building maxheap: $O(n)$

Heap extraction (sorting): $O(\log n)$

Sorting $\rightarrow O(n \log n)$

Lecture 13 - Hash Tables

- Hash table is a data structure that implements a MAP (associative array) ADT.
- Allows mapping keys to values and gives fast lookups / insertions.
- Search average = $O(1)$, worst = $O(n)$
- Dictionary: generic way to map keys to values
- Hash table: implementation of a dictionary using hash function.

Hash Tables

- Stores key value pairs
- Uses hash function to compute index (hash) for given key
- average time of $O(1)$ for insertions, deletions, and lookups.

Key Value Database

- Key value database is a type of NoSQL database, stores data as collection of key value pairs
- Each entry has a key and a value pair
- flexible, efficient

MAP ADT

- data structure stores key-value pairs
- get(Key K)
- put(Key K, value V)
- remove(Key K)
- size()
- isEmpty()

Hash Table Advantage

- Searching sorted list of BST takes $O(\log n)$ time, Hash tables are near instant retrieval

Problem with Direct Addressing

- waste of memory

- Hash function maps a large key space to smaller set of slots

Large space transforms \rightarrow smaller space
values distributed to fewer slots

def hashFunc(idNum):

array_size = 100 000

bucket = idNum % array_size

return bucket

modulus operator ensures remainder

Collision - occurs when two different values map to the same bucket.

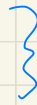
\downarrow To handle collision:

- 1) Chaining (linked list at each slot) (open hash table)
each bucket holds a list of values $[a_1, a_2, a_3]$
- 2) Linear probing, if slot is taken check next slot (closed hash table)
- avoids wasting space but causes clustering
- 3) Double hashing, use another hash function for new slot

Closed Hashing vs Open Hashing

Closed:

- Stores value in array
- if slot is full find next open, Linear Probe



- 1) compute hash index
- 2) if slot is empty, store value
- 3) if slot is taken, next

Open:

- each slot holds linked list
- most flexible but require memory for pointers

Issues with Linear Probing

- 1) Primary clustering (if many values are same area)
- 2) wrap around (reach end, continue searching from idx 0)
- 3) wasted slots

comeback slide 21/33

Lecture 14 - Hash Tables

Primary Clustering in Linear Probing

- When many values hash to similar locations, form clusters
- New keys must probe through these clusters (making search and insertion slower)
- Keys cluster, causing long runs of probing

Avoiding Primary Clustering

- Spread out probes so that they don't land in straight line. (2 better alternatives)

Quadratic Probing

- Instead of moving to next slot ($i+1$) like linear probing, quadratic probing jumps farther away by using a quadratic function

• Formula: $h(k, i) = (h'(k) + c_1 i + c_2 i^2) \bmod m$ → Note when $c_1=0, c_2=1$
 $h(k, i) = (h'(k) + i^2)$

$h'(k)$ is initial hash function

i is probe number

c_1, c_2 constants

→ If slot is filled, it goes $1^2, 2^2, 3^2, 4^2, \dots$

- Quadratic probing spreads (1, 4, 9, 16...)

Double Hashing

- Uses second hash function to decide how far to jump

• Formula: $h(k, i) = (h_1(k) + i h_2(k)) \bmod m$

$h_1(k)$ - first hash function

$h_2(k)$ - second hash function (each key, unique probe sequence)

- Best alternative

Quadratic Probing Problem (Secondary Clustering)

- Quadratic probing reduces clustering, but not eliminate fully
- If two different keys have same initial hash index, they follow exact same probe sequence, which is why double hash is better (to get diff index)
- Same initial hash still cluster, less severe than linear probing

Fixing Secondary Clustering

• Solution: Double Hashing, instead of following probe sequence, second hash function

$$h(k, i) = (h_1(k) + i \times h_2(k)) \bmod m$$

- Each key gets unique probing sequence, avoids secondary clustering
- More randomized placement \rightarrow faster search/insert
- Secondary clustering is better than primary clustering but not ideal

EX

$$h(k, i) = (h'(k) + i^2) \bmod m$$

$$c_1 = 1, c_2 = 1$$

$$m = 12$$

$$h(k) = 0$$

Probing sequence: 0, 2, 6, 0, 2, 6, 0, 2, 6, ...

- Problem:
- only contains even addresses
 - miss many available empty slots
 - Probing pattern is restricted by i^2 .

Double Hash - How it works

1) Compute first hash: $h_1(k) = k \bmod m \rightarrow$ Starting position

2) Compute second hash: $h_2(k) = 1 + (k \bmod (m-1)) \rightarrow$ Step size

3) Each probe step is determined by key itself

• Ensures no primary/secondary clustering

• Why $h_2(k)$ and m must be relatively prime.

EX: if $m=10$, $h_2(k)=2$, we only probe even indices

if m is a power of 2, ensure $h_2(k)$ is always odd

• if x is odd, return 2, else $x+1$

Hash Table Efficiency

• Efficiency depends on:

1) Type of hash table

2) Load Factor (how full table is)

3) Number of collisions (more collision, slower)

Search/Insert: $O(1)$ - Best

$O(1)$ - Average

$O(n)$ - worst (everything collides)

Lecture 15 - Hash Table

Open Hash Table Efficiency

- worst case: all elements end up in same bucket
- Hash table turns into a linked list, $O(n)$ - search/insert

Simple Uniform Hashing

- Every key k has an equal probability of being assigned to any of the m buckets

$$\Pr(h(k)=j) = \frac{1}{m}$$

Each bucket has roughly equal share of keys

Good Hash Function

- hash function is good, when keys are uniformly spread across m buckets

- Number of elements per bucket: $\alpha = \frac{N}{M}$ (Lower α is better)
- Annotations: α is labeled "Load Factor", N is labeled "# elem in table", and M is labeled "# of buckets".

Unsuccessful Search Hash Tables

- Search entire linked list at hash index
- Expected number of checks = length of list
- $\alpha = N/M$
- $\Theta(1 + \alpha)$ - Expected time for unsuccessful

Successful Search Hash Table

- Find correct bucket, scan linked list
- check about half items before finding target
- $\Theta(1 + \frac{\alpha}{2}) = \Theta(1 + \alpha)$

Empty Hash table

- max steps to -insert value = 1
- finding item is $O(1)$

Full Hash Table

- Probe many slots before finding empty one
- worst case: $O(n)$ time complexity!

Load Factor (α):

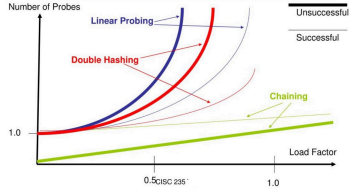
- measure of how full table is
- $\alpha = \frac{50\,000}{100\,000}$ } $\frac{\text{elems}}{\text{buckets}}$
- $\alpha > 1$ means elems > buckets only in open hash table
- (high α) = slower search
- (low α) = faster search

Closed H.T Efficiency

- Prob of occupied bucket is α
- Prob of empty bucket is $1 - \alpha$
- Expected Steps Formula: $\frac{1}{1 - \alpha}$
- Successful search time: $\frac{1}{\alpha} \ln\left(\frac{1}{1 - \alpha}\right)$
- insertion Complexity: $i + 1$ at $\alpha = \frac{i}{m}$

Closed hash table vs. Open hash table

Average number of searches during a successful/unsuccessful search as a function of the load factor α



LP - fast when empty, degrades quickly
- Clustering increases search time

DH - better than LP
- less clustering

SC - consistently good performance at higher
- Scales better because own linked list
- Unsuccessful takes longer

Rehashing - increasing table size, recalculating hash values
• the positions of key will change - $O(1)$ time

Lecture 16 - Hash Function Analysis

• Different Hashing Methods

K mod m (Division method)

- take key, compute mod m
- usually used in linear probing, works better when K and m are relatively prime to avoid clustering
- High chance of collision when keys are similar

Sum of Digits Hashing

- Convert key into digits
- Sum digits, compute sum mod m
- High chance of collision (523 and 902) both sum to 10
- works well for small numeric keys

K² mod m (Mid square method)

- more balanced hashing method, avoids patterns
- square K
- Extract middle digits of squared number
- compute mod m to get hash idx

For parent: $(\text{index} - 1 // 2)$

$$h(K) = \text{middle digits of } (K^2) \text{ mod } m$$

Ex: $56^2 = 3136 \rightarrow \text{middle digits} = 13$
 $13 \text{ mod } 100 = 13$

- Avoids clustering, more computation

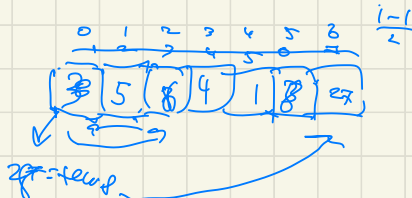
Hashing Strings

- Strings can't be modded, so we use polynomial hashing

- 1) Convert each character into ASCII value
- 2) Multiply each ASCII value by power of prime number
- 3) Sum all values take mod m

"abc", p=31, m = 10⁹ + 7

97, 98, 99
 $97 \times 31 = 3007$
 $98 \times 961 = 95138$
 $97 + 3007 + 95138 = 98274$
Final Hash = 98274



Lecture 17 - Graph - Basics

- Properties
- Graphs in Python
- Graph ADT

General Concepts

- Graphs have vertices and edges
- Vertices are adjacent if there is an edge between them
- Neighbors: Vertices that are adjacent
- Degree is number of edges connected to vertex
- In directed graph: In degree: Edges coming into a vertex
Out degree: Edges going out from vertex

More Terminology

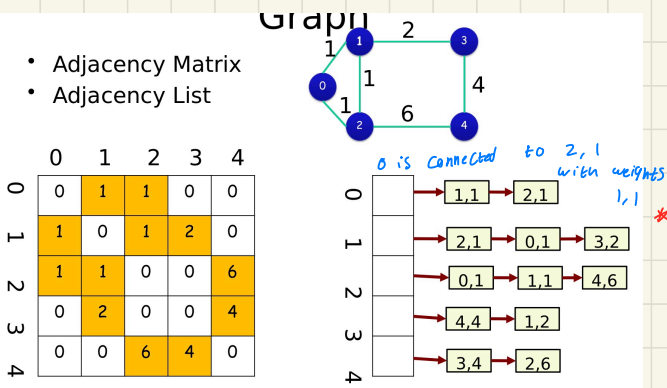
- Walk: Sequence of vertices where each adjacent pair is connected
- Trail: Walk with no repeated edges
- Path: Walk with no repeated vertices
- Circuit: closed trail
- Cycle: closed path
- Loop: Edge that starts and ends at same loop

Representation

- Adjacency matrix (1, if two cells i, j are edge)
- Adjacency list: Each vertex has a list of neighbors
- Reordering vertices affects the matrix/list but not actual structure

Weighted Graph

- Weighted graph has edges with values
- Adjacency matrix contains numeric weights, not just 0's and 1's.
- Adjacency list: Each connection is labelled with weight like (i, j, w)



Directed Graph

- directed graph has edges that point in only one direction
- Adjacency matrix only records one direction
- Adjacency list: only outgoing edges listed

Adjacency Matrix vs Adjacency List

Adjacency Matrix:

- few vertices, many edges
- dense graphs

• Dense graph: many edges between nodes

• Sparse graph: few edges compared to total possible

Adjacency List:

- many vertices, few edges
- sparse graphs

Graph as ADT

• supports a set of operations regardless of how it's implemented

Common operations:

- Test (if graph empty)
- Get (# of edges/vertices)
- check (if edge exists)
- insert (vertex, edge between 2 vertices)
- remove (vertex, edge ...)

Graph Traversal

• Graphs can be traversed in many ways because of cycles and multiple paths

Key Methods:

↳ Breadth First Search (BFS) Level by level

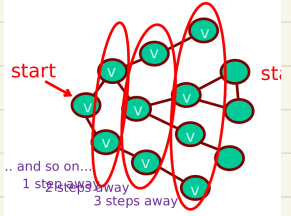
↳ Depth First Search (DFS) as deep as possible, before backtracking

Lecture 18 - Graph BFS/DFS

Breadth First Search (BFS)

- ↳ Explores nodes in layers (growing **Concentric Circles**)
- Visiting all nodes 1 step away from start, then 2 steps away
- **Breadth means broad/wide**
- Keeping track of indices in **Queue**
- **Time Complexity of $O(|V| + |E|)$**
- Shortest path of unweighted graphs

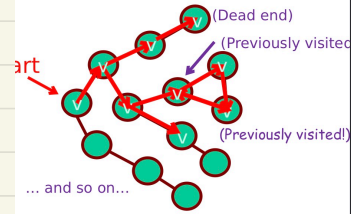
A Breadth-first Search explores the graph in **growing concentric circles**, exploring all vertices 1 way from the start, then 2 away, then 3 away, etc.



Depth - first search (DFS)

- goes as far as possible along **one path** before backtracking.
- explores deeply, then tries other paths.
- Uses **Stack** to hold visited vertices
- POP nodes when visited
- **Time complexity of $O(|V| + |E|)$**

A Depth-first Search keeps moving forward until it hits a **dead end** or a **previously-visited vertex**... then it backtracks and tries another path



- For BFS, you add it to queue, then mark it as seen. Then you check out the neighbors.
- For DFS, you make sure each **subset of nodes** are **visited before moving on to the sibling**.

DFS Code Idea

- Takes in node as input, marks as visited
- For every neighbor, recursively call function
- goes until all nodes are marked

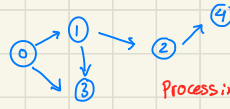
BFS Code Idea

- Start node as input
- mark node as visited
- create queue enqueue node
- while the queue is not empty, enqueue and dequeue all nodes that are seen.

BFS Traversal Demo

- Start from vertex, make queue
- add starting vertex mark it, while nodes left
- take out node, loop through neighbors, if it hasn't been seen add it to queue and mark it

Example



Processing order:

$0 \rightarrow 1 \rightarrow 3 \rightarrow 2 \rightarrow 4$

* Always process first node in queue

BFS Runtime Analysis

- n : number of vertices
 - m : number of edges
 - Each node is enqueued and dequeued once $\rightarrow O(n)$
 - Each edge is seen once $O(m)$
 - Time complexity: $O(m+n)$
- \hookrightarrow If you use adjacency matrix it's $O(n^2)$

Adjacency list > Adjacency Matrix

- list stores actual neighbors
- list is much more efficient

DFS Traversal Demo

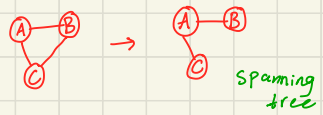
- goes deep first, then hits deadend then goes to next path
- Can be done using recursion or stack
- 1) Push node on stack, while stack not empty
Pop top node, if not visited mark as visited, neighbors on stack
- As long as you follow property of DFS, can have diff order outputs
- Runs in $O(n+m)$ time

Disconnected Graphs

- If graphs aren't fully connected, BFS/DFS starting at a node won't visit everything
- \rightarrow would need to loop through all nodes

Lecture 19 - Min Spanning Trees

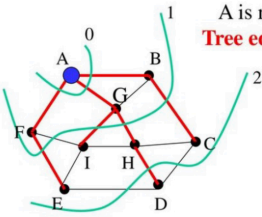
Ex/



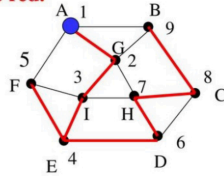
What is Minimum Spanning Tree

- **Tree**: Connected graph with no cycles
 - **Spanning Tree**: Subgraph that includes all vertices and is a tree
 - **MST (Minimum Spanning tree)**: Spanning tree with lowest possible total edge weight
 - **Disconnected Graph can not have spanning tree**
 - **Every connected graph has at least one spanning tree**
- **undirected**: edges have no direction
 - **directed**: edges have a one way direction

DFS/BFS spanning tree



Breadth-first search spanning tree



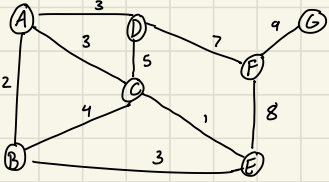
Depth-first search spanning tree

- **Min Spanning Tree is not unique**
- **Min Spanning Tree is undirected connected weighted graph**

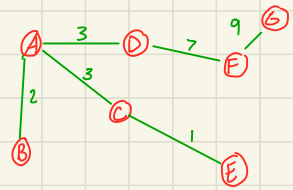
Kruskals Algorithm

- Used to build the MST edge by edge, starting from smallest
- 1) Sort all edges by weight
 - 2) Start with an empty tree
 - 3) Loop through edges (lowest \rightarrow highest)
 - 4) If edge doesn't form cycle, add it
If it would create cycle, skip it
 - 5) Stop when added $n-1$ edges

Example



- CE = 1
- AB = 2
- AD = 3
- AC = 3
- BE = 3
- BC = 4
- DC = 5
- DF = 7
- EF = 8
- FG = 9



Time complexity: $O(E \log E)$

More Notes on Kruskal's Algorithm

- In code the idea is while the number of vertices is less than $n-1$
- Pick smallest edge, that doesn't make a cycle
- add it
- Kruskal's builds a forest, if two nodes are already connected don't add more
- Kruskal's Algorithm is super efficient because of Union and find $O(1)$ optimization

Optimizing Kruskal's Algorithm

- Basic: brute force used to update group labels $O(n^2)$
 - Optimized: uses sets and trees to track group.
 - roots as set label, every node has pointer to its parent, root points to itself
- ↳ just updates pointers, $O(m \log n)$

Find-Set(u): follows parent pointers to find root

Union(u, v): connects two groups, make one root point to other

Lecture 20 - Graphs - Prim's Algorithm

- another way to build a MST
- Unlike Kruskal's, Prim's builds from a single vertex, and expands tree one edge at a time, always adding the cheapest edge that connects to tree.

- 1) Start at any vertex
- 2) Choose cheapest edge
- 3) Look at all edges combining them, take cheapest

Basically, go around choosing cheapest connection, picking up all the vertices.

Visual Difference: Kruskal vs Prim

Kruskal

- Starts w edges
- add lowest weight
- Union Find
- Edge based

Prim

- Starts w vertex
- lowest weight that touches tree
- set of visited nodes
- Vertex based

Problem with Basic Prim

- Scan all edges every time, to find next min valid one
- $O(m \cdot n)$ time $O(m \log n)$ to sort them

↳ Solution: Use a min Heap

- Store candidate edges in min heap, ordered by weight
- POP smallest edge, check connection to vertex inside tree to outside

Basically: Make a few different sets:

T = already covered nodes

R = NOT yet visited

M = Min heap nodes

Code Idea:

- For all neighbors in V
add it to min heap

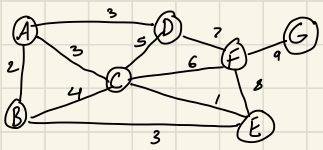
While Set has $n-1$ vertices

POP from min heap and if
x in tree and y not in tree

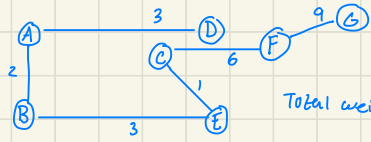
then add edge to MST, y, to tree

Ex 1

Using Prim's Alg to get MST



- 1) Select A
- 2) Choose AB
- 3) Keep Choosing Cheapest Connector



Total weight: 24

visited: {A, B, C, D, E, F, G}

MST is unique when edge weighting is unique.

3rd Solution to Prim's

- Using a Priority array
- Always pick vertex from a with smallest K-value
- manually tracking smallest edge $K[v]$
- update $K[v]$ when cheaper edge is found

Time complexity of Prim's using min heap (priority queue)

↳ Total time: $O(m \log n)$

↳ # of vertices

↳ # of edges

- Cheapest edge gets globally optimal MST
- Kruskal's better for sparse graphs
- Prim's better for dense graphs

Lecture 21 - Shortest Paths Dijkstra's Algorithm

4 Types of Shortest Path

- Single pair shortest path (smallest weight)
↳ Point A to B
- Single source: Same start to different finishes
- Single destination: Different start same destination
- All Pairs: Shortest path between every pair of nodes

Dijkstra's Algorithm

- Solves single source shortest path and shortest path to every other vertex
- Takes in 2 inputs, graph G with edge weights and a vertex starting point S .
- Output: an array $Dist[]$, showing shortest distance from S to every other node
- Splits vertices into 2: settled and unsettled
- Similar to Prim's
↳ confirmed shortest ↳ still exploring

- 1) From A , update neighbors
- 2) Pick node w smallest distance, thus far → check its neighbors, update
- 3) Pick next smallest unsettled, repeat

3 Data Structures used by Dijkstra

- $Dist[]$ - stores current best known distances from S
- $Done[]$ - keeps track of settled nodes
- $Parent[]$ - for path reconstruction
- To find path, use parents in reverse order

Relaxing: testing whether the shortest path can be improved by going through u .

$$\text{If } Dist[v] > Dist[u] + weight(u \rightarrow v)$$

$$\text{Update } Dist[v] = Dist[u] + weight(u \rightarrow v)$$

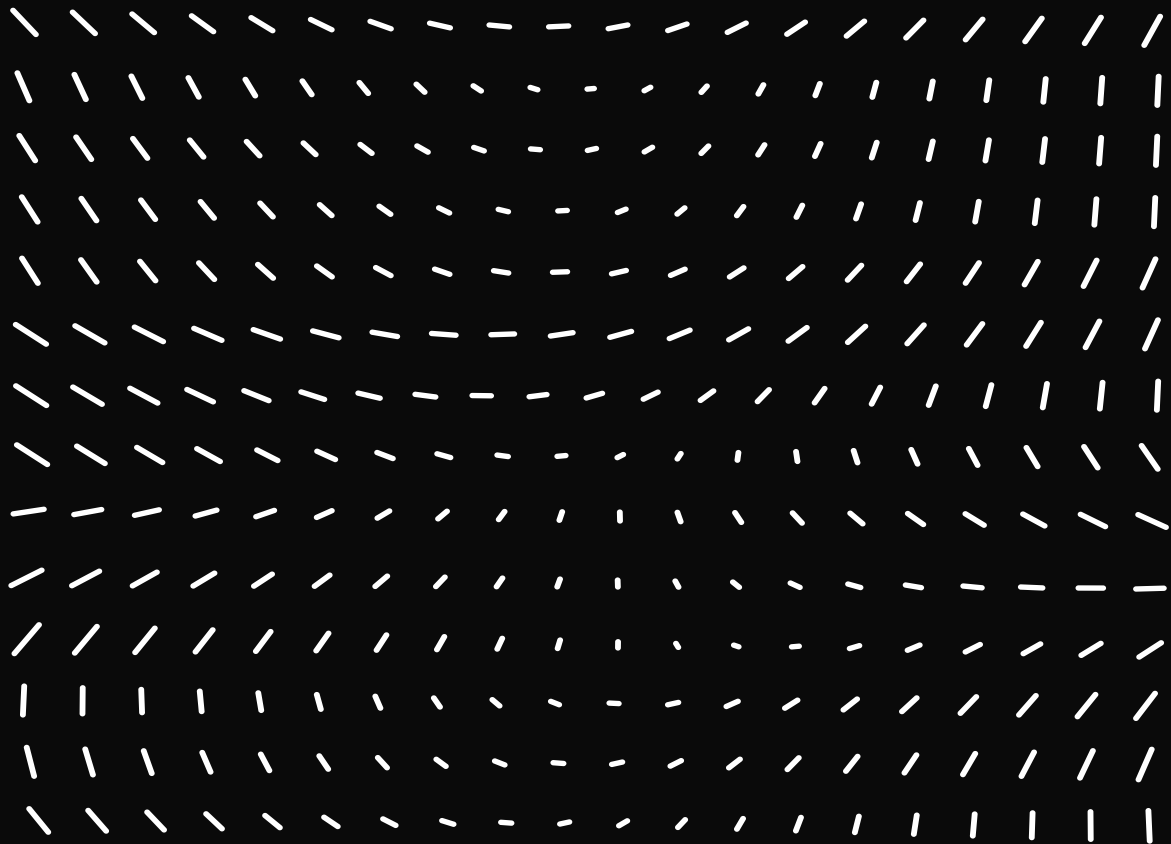
$$Parent[v] = u$$

runs in $O(E \log V)$

↓
using heaps ($\log n$)

Quiz 1

Review



Practise Quiz 1

- 1) True
- 2) True
- 3) False
- 4) False
- 5) A)
- 6) A)
- 7) D)
- 8) A)

15, 20, 24, 10, 13, 7, 30, 36, 25

```

int mystery(int x, int y) {
    if (y == 0) {
        return 1;
    }
    if (y == 1) {
        return x;
    }
    return x * mystery(x, y-1);
}
    
```

Base case implies, $y=0$, return 1, $x^0 = 1$
 $y=1$, return x, $x^1 = x$

$input(2, 5) \rightarrow 2^5 = 32$
 $2 * mystery(2, 4) \rightarrow 2^4 = 16$
 $2 * mystery(2, 3) \rightarrow 2^3 = 8$
 $2 * mystery(2, 2) \rightarrow 2^2 = 4$
 $2 * mystery(2, 1) \rightarrow 2$

Be careful unwinding the recursive function.

anotherMyst(3, 4)

Base case: $(3, 1) \rightarrow 3$
 $(3, 2) \rightarrow 3 + 3$
 $(3, 3) \rightarrow 3 + 3 + 3$
 $(3, 4) \rightarrow 3 + 3 + 3 + 3 = 12$

Base case: $1 *$

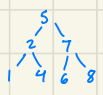
Factorial(1): $1 * factorial(2)$
 Factorial(2): $2 * factorial(3)$
 3
 4
 5

$5! = 120$

4a) Coding Question

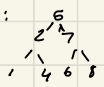
Function takes in an array, level order. Returns the BST.

Given:



Level order: 5, 2, 7, 1, 4, 6, 8

output:



Idea: Start at root, move down levels

Creating a BST Node

```

class Node:
    def __init__(self, data):
        self.data = data
        self.left = None
        self.right = None
    
```

Function to get new node

```

def getNode(data):
    newNode = Node(data)
    newNode.data = data
    newNode.left = None
    newNode.right = None
    return newNode
    
```

Level order structure

```

def level_order(root, data):
    if (root == None):
        root = getNode(data)
    return root
    
```

Base case, if tree is empty create root node

Inserting flow

```

if (data <= root.data):
    root.left = level_order(root.left, data)
else:
    root.right = level_order(root.right, data)
return root
    
```

recursive call

making BST

def constructBst(arr, n)

```

if (n == 0):
    return None
root = None
for i in range(0, n):
    root = level_order(root, arr[i])
return root
    
```

makes all the roots

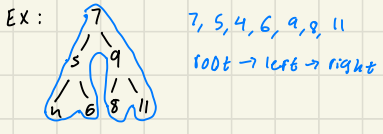
4a) coding

```
def inorderTraversal(root):
    if (root == None):
        return None

    inorderTraversal(root.left)
    inorderTraversal(root.right)
```

Practise Coding q2

Idea: takes in pre-order traversal and prints tree



```
def constructBSTpreorder(arr, index, min_val, max_val)
```

```
    if index[0] >= len(arr)
        return None, index
```

} Stop if index > array

• USES index to track position in array.

```
    root_val = arr[index[0]] → see root node
```

```
    if root_val < min_val or root_val > max_val → if the root node is valid
        return None, index
```

```
    root = BST_Node(root_val)
    index[0] += 1
```

- Create it

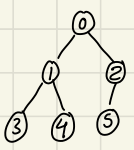
```
    root.left, index = constructBSTpreorder(arr, index, min_val, root_val) → recursively call left side
```

```
    root.right, index = constructBSTpreorder(arr, index, max_val, root_val) → recursively call right side
```

```
    return root, index
```

Practise 2)

Idea: Function takes pre-order and in order



inorder[] = [3, 1, 4, 0, 5, 2] → Left → root → right

Preorder[] = [0, 1, 3, 4, 2, 5] → Root → left → right

if left & right:
return None

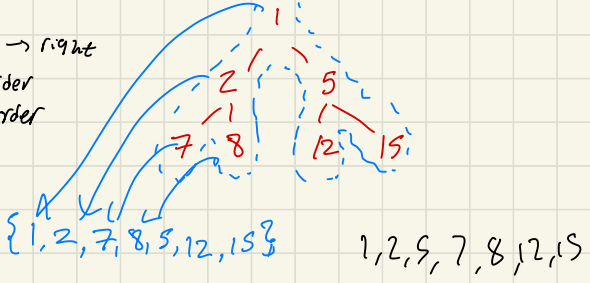
```
root.left = Function(inorder, preorder, preindex, left)
```

Another Sample quiz

- 1.) True
- 2.) False
- 3.) True
- 4.) True
- 5.) D
- 6.) a) *
- 7.) b)

- 1) False -1, from getIndex() means entry was not present
 - 2) False
 - 3) True a)
 - 4) True a)
- Head → 2 → 3 → 4

8.) b) Root → left → right
 Stacks → pre order
 Queues → level order

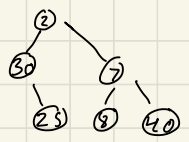


$$\frac{(a+b) * (c-d)}{(e-f) * (g+h)}$$

ab+ cd- ef- ght+

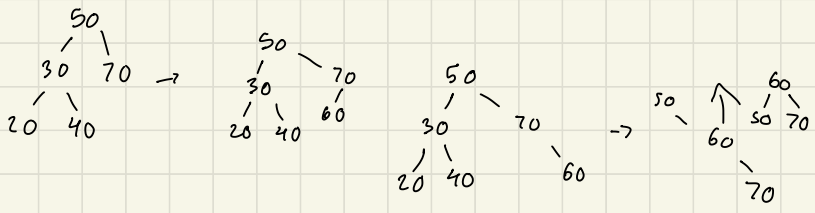
$$\frac{((a+b+c-d) * (e-f-g+h))}{...}$$

in-order = [30, 20, 25, 7, 8, 40]
 pre-order = [2, 30, 25, 7, 8, 40]



```
def Construct(in_order, pre_order):
    pre_index = {0}
    left = 0
    right = len(in_order) - 1
    root = Construct(in_order, pre_order, pre_index, left, right)
    return root
```

50, 30, 70, 20, 40, 60, 80



Review for quiz 1: Lecture slides

Data Structures

- way to store, organize, manipulate data efficiently
- Search → Find if element exists
- Insert → add new element efficiently
- Linear search → $O(n)$
- Binary search → $O(\log N)$ → requires a sorted list
- Algorithms, steps to process data efficiently
- Data representation methods: Arrays, linked lists, trees, graphs

Algorithm Analysis

- Time complexity: measures the time taken based on input size
- Space complexity: measures the amount of memory used

EX. Merge sort ($n \log n$) is fast but needs extra memory

- Quick sort ($n \log n$) but works in place memory

- Key point: Algorithms may be faster for certain small/large inputs like $A = 37n$, $B = 2n^2$

Experimental vs Theoretical Analysis

- Experimental: run program, affected by hardware, compiler, don't use for big inputs
- Theoretical: uses big-O notation to predict efficiency, use for big inputs
- Abstraction: ignoring unnecessary details: $4n^2 + 3n + 10 \rightarrow O(n^2)$

Big O, Big Ω , Big Θ

- Big O → the upper bound (worst case)
- Big Ω → the lower limit (best case)
- Big Θ → the exact time in both cases (tight bound) → If best/worst case are diff Big Θ does not exist.

Asymptotic Behavior of Polynomials

Polynomial: $P(n) = 3n^2 + 2n^2 + 5n + 7$

Dominant term is $3n^2$

a) If $k \geq d$, then $p(n) = O(n^k)$

Saying, if constant k is the highest degree, that is your tight bound on $O(n^k)$

$P(n) = n^3$, $O(n^3)$ is tight bound, $O(n^4)$ is loose bounds

b) Omega Notation

• if $k \leq d$, then $p(n) = \Omega(n^k)$

$P(n) = n^3$, grows atleast at n^3 , tightest bound is $\Omega(n^3)$

c) Theta notation $\Theta(n^k)$

$k=d$ then $p(n) = \Theta(n^k)$

Exact bounds grows like n^3 .

Finding number of Operations

def operation(x):

$n = \text{len}(x) \rightarrow O(1)$

$A = [] \rightarrow O(1)$

for i in range(n): $\rightarrow O(n)$

$a = 0 \rightarrow O(n)$

for j in range(i+1): $\rightarrow O(n^2)$

$a += x[j] \rightarrow O(n^2)$

$A.append(a/(i+1)) \rightarrow O(n)$

return A $\rightarrow O(1)$

\therefore Big O is n^2

Big Ω is n^2

Big Θ is n^2

Array-Based List vs Linked List

Array based list (Dynamic Array)

- Uses fixed size array, resizes dynamically
- Stored next to each other in memory
- Fast access $O(1)$
- Slow insert/delete $O(n)$
- Resizing requires copying to new array $O(n)$

LinkedList:

- Each node has data and a pointer to the next node.
- Fast insert/delete (at head/tail)
- Flexible Size
- Slow access to nodes $O(n)$

Array-based List vs. LinkedList

Operation	Array-based List	LinkedList
Insert at head	$O(n)$	$O(1)$
Insert at end	$O(1)$	$O(1)$ if has tail reference, $O(n)$ if not
Insert particular	$O(n)$	$O(n)$
Delete at head	$O(1)$	$O(1)$
Delete at end	$O(1)$	$O(n)$
Delete at middle	$O(n)$	$O(n)$
Search	(access + shift) $O(n)/O(\log n)$	(search + delete) $O(n)$
What is the element at a given position?	$O(1)$	$O(n)$

Stacks and Queues

Stacks

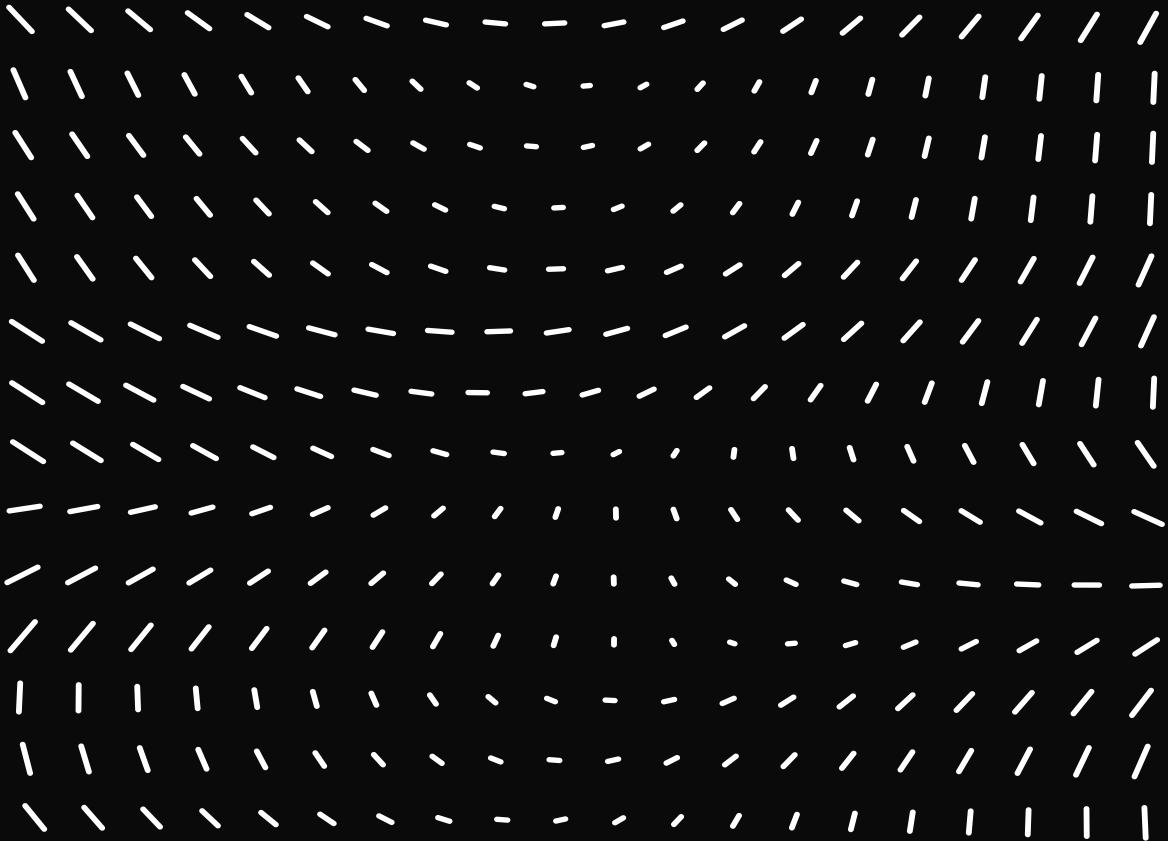
- Last in, first out
- Operations: Push, Pop, Peek
- Used for backtracking,
- function calls (recursion), undo operations
- implemented with arrays/linked lists

Queue

- First in, first out
- Operations: enqueue (add), dequeue (remove)

Quiz 2

Review



Quiz 2 Review - Lecture Slides

- Priority Queues
- Heaps
- Hash Tables
- Hash Functions

Quiz 2 practise Quiz #1

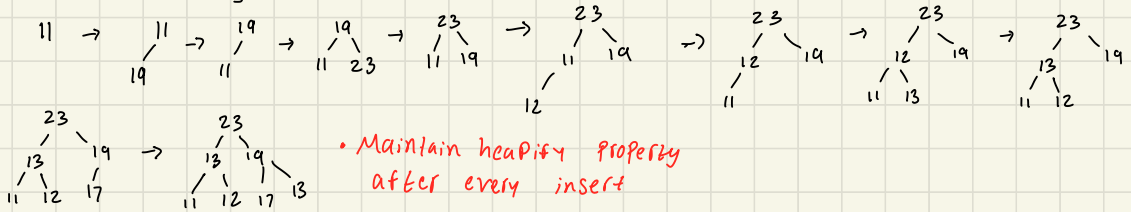
True/False

- min/max heap, Parent node index: $\text{Parent index} = \frac{(\text{Child index} - 1)}{2}$
- Converting array to heap is faster than Searching/inserting array into empty heap - True
- inserting one by one to empty heap take $O(n \log n)$
- Heapifying array all at once (using Heapify approach) $O(n)$
- Load factor can be larger than 1 for Chain based collision handling
- open addressing must be ≤ 1
- Large hash table, reduces collisions but memory is inefficient.
- Ideal Hash Tables: Start with small size dynamically resize, when $\alpha > \alpha$. Resize dynamically

Short Answers

Constructing max heap, into initially empty heap: (one by one)

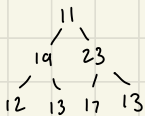
[11, 19, 23, 12, 13, 17, 13]



Other Method: Heapify method (Bottom up)

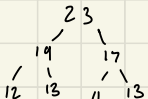
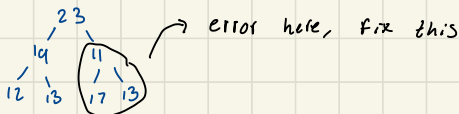
- Given an unsorted array: [11, 19, 23, 12, 13, 17, 13]
- Start at last non leaf node, work bottom up

1) Treat as complete BST:



Check $\text{index} = (6/2 - 1) = 2$
index 2 is 23, which is good

- 2) $i - 1 = 1$, value 19, all good, decrement again
 $i - 1 = 0$, value 11, must swap Higher #



Code Implementation:

heapify: (arr, n, i)

largest = i
 left = 2 * i + 1
 right = 2 * i + 2

if left child exists and greater than root
largest = left

if right child exists and is greater than
current largest:
largest = right

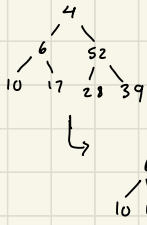
if largest is not root, swap and heapifying

arr[i], arr[largest] = arr[largest], arr[i]

heapify(arr, n, largest)

2) Describing steps to heapify: [4, 6, 52, 10, 17, 28, 39] into min heap

1) create complete BST



Start at index 7
 $\text{index} = (7/2 - 1) = 2$
 go to 52
 $\text{idx} = 1$, is 6
 6 is fine
 This is min heap

- 1) Deal with 52 subtree
- 2) Then 6 subtree, then 4 subtree, its valid
- 3) min heap done

3) Insert keys to closed Hash Tables

- linear probing
- Array: [7, 8, 30, 11, 18, 9, 14]
- hash function $h(k) = k * 3 \pmod{7}$
- $\alpha = 0.7$, 7 elems, size = 10

1) Insert 7 keys

H(k)	0	1	2	3	4	5	6	7	8	9
k	7	14		8		11	30	18	9	

2) Check how many collisions each look or number of probes to find each key

7	8	30	11	18	19	14	
1	1	1	1	1	3	3	2

$= \frac{12}{7}$

Avg Probes = $\frac{12}{7}$

4) Size 10 hashtable

- double hashing
- $h_1(k) = k \pmod{10}$
- $h_2(k) = 1 + k \pmod{9}$
- Values: 9, 5, 18, 14, 28, 30

1) Use Primary hash function to get initial positions in the table:

$$h_1(k) = k \pmod{10}$$

	0	1	2	3	4	5	6	7	8	9
					14	5			8	9

2) • Collision at 28 → apply second hash

$$h_2(28) = 1 + (28 \pmod{9})$$

$$\text{Step size} \rightarrow = 2 \quad \# \text{ of collisions}$$

• New address = $h_1(28) + i * h_2(28) \pmod{10}$
 $= (9 + 1 * 2) \pmod{10}$
 $= 10 \pmod{10} = 0$
 ↑ comes from size of table

↳ Insert 28 into index 0.

3) Inserting 30, $h_1(30) = 0$, collision

$$h_2(30) = 1 + (30 \pmod{9}) = 4, \text{ collision}$$

$$h_1(30) + i * h_2(30) \pmod{10} = 4 \text{ collision}$$

$$(0 + 2 * 4) \pmod{10} = 8 \text{ collision}$$

$$(0 + 3 * 4) \pmod{10} = 12 \pmod{10} = 2$$

0	1	2	3	4	5	6	7	8	9
28		30		14	5			18	9

- Linear Probing: new address = $h_1(\text{Key}) + i \pmod{\text{table size}}$
- Quadratic Probing: new address = $h_1(\text{Key}) + i^2 \pmod{\text{table size}}$
- Double hashing: new address = $h_1(\text{Key}) + i \times h_2(\text{Key}) \pmod{\text{table size}}$

Coding Questions

- Evaluate effectiveness of given hash function

Good Hash Function Satisfies 4 Characteristics

- 1) Incorporating all information in the key
- 2) Uniform Mapping to Addresses
- 3) Fast Computation
- 4) Discontinuity

Specific to Question

- 1) function uses both name and priority, but "abc" and "cab" have same hash value
- 2) multiplication by priority causes clustering
- 3) This function is fast only in $O(n)$ time
- 4) Small change in name task A vs task B, results in small ASCII changes

∴ Not a great function.

Better way is weigh based on characters

2) given array

returns k^{th} smallest number
leverage heaps

1) use max heap of size k to store k smallest elements seen so far

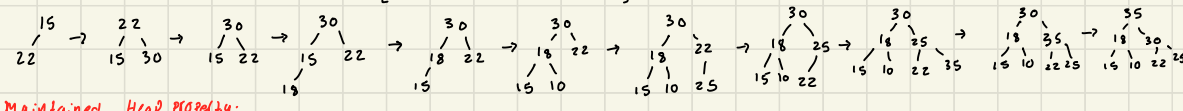
2) k^{th} smallest element is always at root. If elem is smaller then
replace root and heapify

3) process all elements

Complexity: $O(n \log n)$

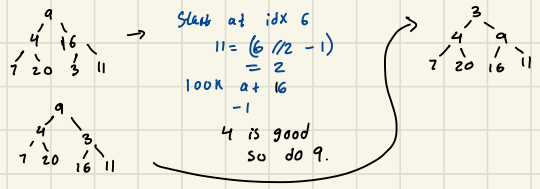
Quiz 2 - Practise Questions

1) max heap one by one, using: [15, 22, 30, 18, 10, 25, 35]



Maintained Heap Property:

2) heapify array to min heap: [9, 4, 16, 7, 20, 3, 11]



3) Insert [10, 15, 23, 8, 20, 25] to closed hash table

0	1	2	3	4	5	6
	15	23	10	8	25	20

$h(k) = k \text{ mod } 7$

4) Open hash table, size = 10, double hashing

$h_1(k) = k \text{ mod } 10$
 $h_2(k) = 1 + (k \text{ mod } 9)$

Array: [9, 5, 18, 4, 29, 30]

Table:

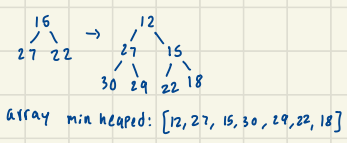
0	1	2	3	4	5	6	7	8	9
28		30		14	5			18	9

1) First collision at 28: $28 \text{ mod } 10 = 8$, 8 is already taken by 18. Apply second hash: $h_2(28) = 1 + 1 = 2$
 new address: $h_1(k) + i \cdot h_2(k) \text{ mod } 10 \rightarrow 8 + 1(2) \text{ mod } 10 = 0$

2) Second collision at 30: $30 \text{ mod } 10 = 0$, 0 is taken
 Apply $h_2(30) = 1 + 30 \text{ mod } 9 = 4$
 new address: $0 + i(4) \text{ mod } 10 = 4$, already taken
 $0 + 2(4) \text{ mod } 10 = 8$, already taken
 $0 + 3(4) \text{ mod } 10 = 12$, 2 not taken

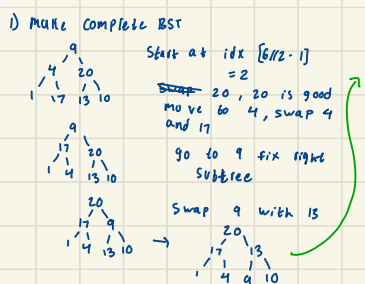
1) min heap, one by one way:

array = [15, 27, 22, 30, 29, 12, 18]



2) Describe steps heapify to max heap

array: [9, 4, 20, 1, 17, 13, 10]



Complete Max heap

3) keys = [12, 18, 14, 24, 30, 42, 19]
 $h(k) = k \bmod 11$
 $h(k, i) = (h'(k) + i^2) \bmod 11$

0	1	2	3	4	5	6	7	8	9	10
	12	24	14			19	18	30	42	

1) For 18 = $7 + 1^2$
 $8 \bmod 11 = 3$

4) For 42 mod 11 =

$9 + 1^2 \bmod 11 = 10$

$9 + 2^2 = 2$

$9 + 3^2$

Search complexity: $\frac{7}{11} \approx 0.636$

Avg probes needed: $\frac{9}{7} \approx 1.3$

2) For 14 mod 11 = 3, 3 is taken
 $= 3 + 1^2 \bmod 11$
 $= 4 \bmod 11 = 4$

3) For 30 mod 11 = 8,
 $= 8 + 1^2 \quad 9 \bmod 11 = 9$
 $= 8 + 2^2 \bmod 11 = 1$
 $= 8 + 3^2 \bmod 11 =$

4) Keys: [20, 32, 59, 76, 43, 35, 91]

$h_1(k) = k \bmod 10$

$h_2(k) = 1 + k \bmod 7$

new address: $h_1'(k) + i \cdot h_2(k)$

0	1	2	3	4	5	6	7	8	9
20	10	32	43	59	35	76			

Analyzing Hash Functions

- incorporating all characters, meaningfully
- Uniform mapping (minimizing clustering)

- Sum = Sum * c + x (makes order matter)

• mid square is bad for small, telephone numbers, insurance numbers

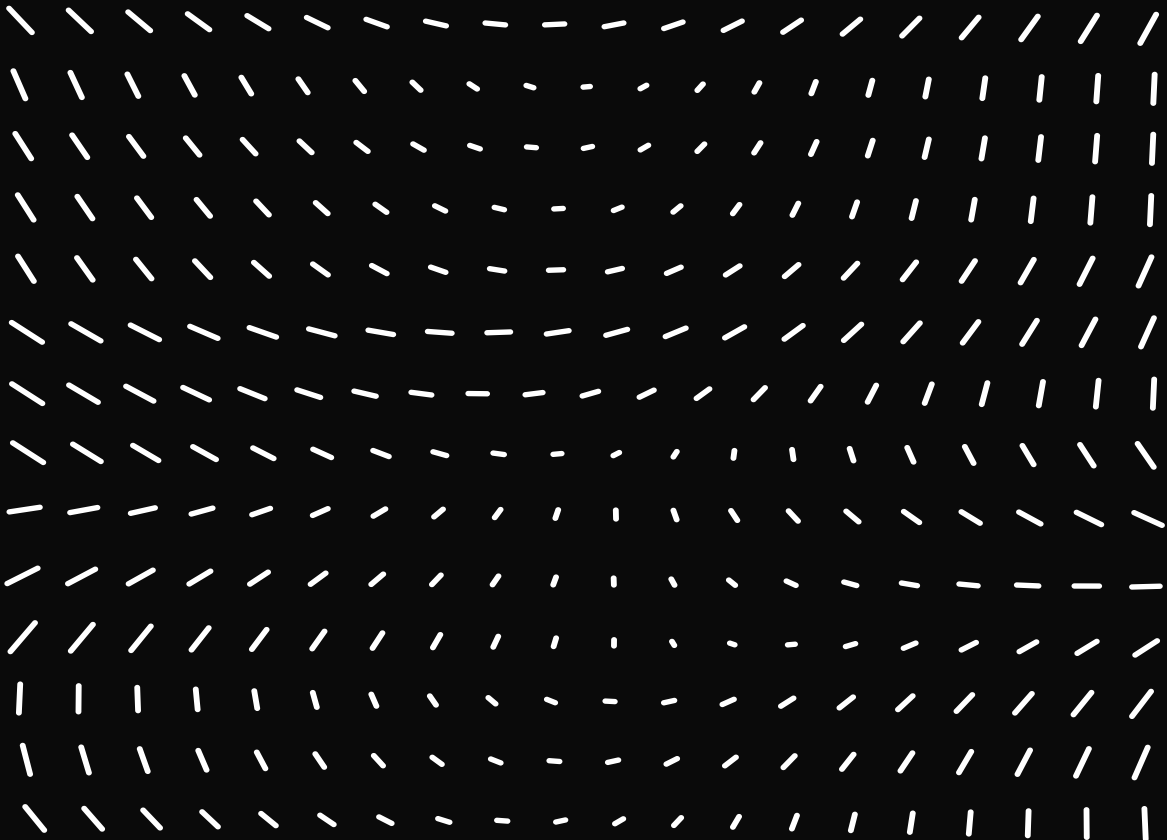
• regular $k \bmod m$

• Multiplication method multiply by v, take decimal, $v = \frac{\sqrt{5} - 1}{2}$, same v values

• Hashing strings, making it a number, $a = a * c + \text{ord}(x)$

• \hookrightarrow To make better multiply by constant

Exam Review



Please message / Email me for more info!