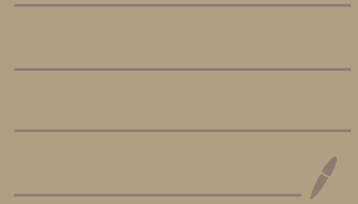
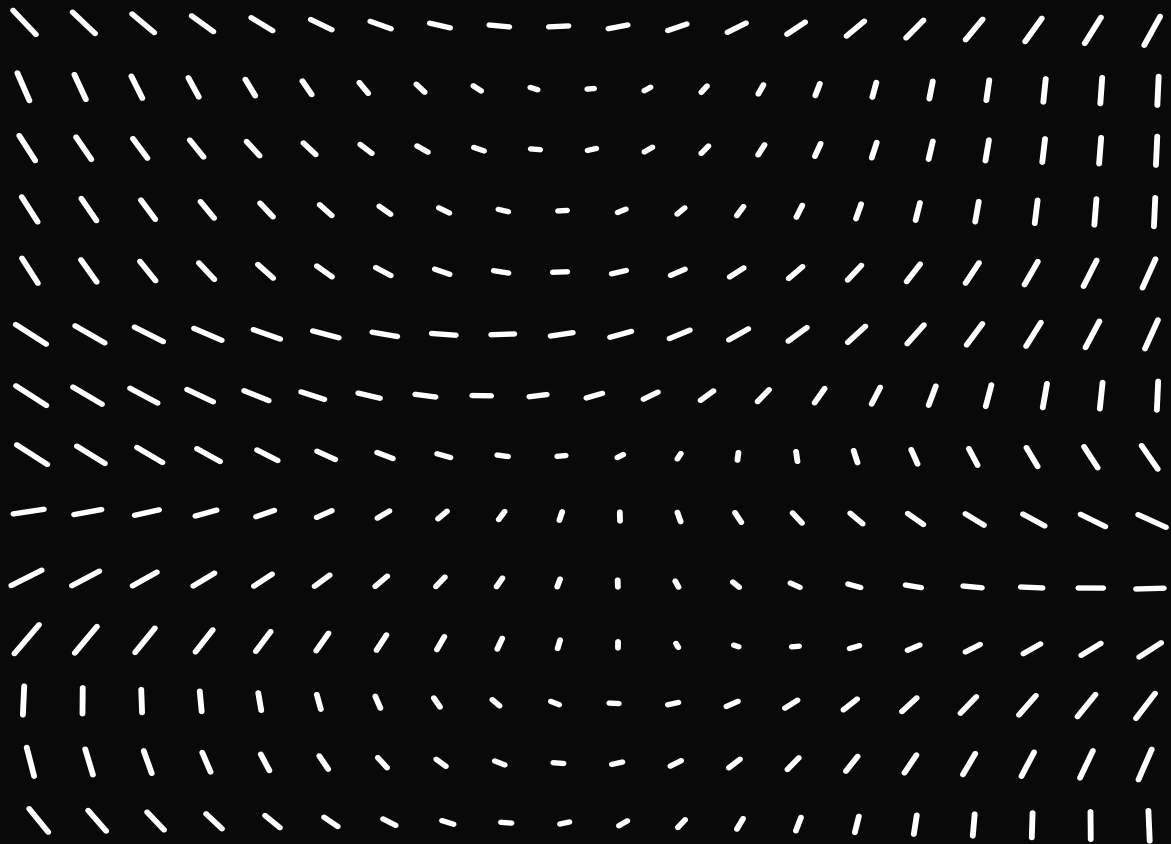


CISC 223



Lecture notes



Week 1 - Introduction Background

Overview of Theory of Computation

- Automata Theory - models of computation and their properties.
- Computability Theory - Problems that can/can't be solved with computers
- Complexity Theory - How efficiently problems can be solved.

Complexity Theory

- easy problems vs hard
- Sorting numbers \rightarrow easy for large datasets
- Classification: Problems are categorized by difficulty.
- Real world application: Cryptography, hard to compute

Computability Theory

- Early studies showed, some problems are impossible to solve with any computer
- Led to theoretical models
- Computability vs. Complexity
 - Computability \rightarrow Focus on if problem has solution
 - complexity \rightarrow Focuses on how hard it is.

Automata Theory

- Automata are abstract models of computation used in:
 - Text Processing
 - Compilers
 - Hardware
- Finite Automata: simple models useful for search engines
- Introduces formal definitions and logic, used in other areas.

Mathematical Notation - sets

- A set is a collection of objects, $S = \{7, 21, 57\}$
- \subseteq - subset
- \cup - union
- \cap - intersect
- A' - complement

Sequences and Tuples

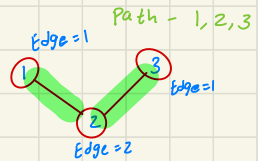
- Sequence: ordered list of elements (7, 21, 57)
- Tuple: Finite Sequence (1, 2)
- Cross Product: $A = \{1, 2\}, B = \{x, y\}$
 $A \times B = (1, x), (1, y), (2, x), (2, y)$

Functions / Relations

- Functions maps inputs to outputs $f(x) = x^2$ $3 \rightarrow 9$
- Unary (1 input)
- Binary (2 inputs)
- onto Function: Uses all values in range

Graphs

- A graph is a set of nodes connected by edges
- Degree: Number of edges at a node
- Path: Sequence of nodes connected by edges
- Cycle: A path that starts and ends at same node
- Undirected Graph: Edges have no direction
- Directed Graph: Arrows show direction



n -Vertices = $n-1$ edges
For a Tree

Strings / Language

- A String is a sequence of characters
- Concatenation: "ab" + "cd" = "abcd"
- Languages: Set of strings over an alphabet.

Alphabet: $\{0, 1\}$

Language: $\{ "0", "1", "10", "11", "101" \}$

Boolean Logic

Operations:

- Not, negates value (\neg)
- And, True if both 1
- or, True if atleast 1 is true
- xor - True if inputs are
- Implication \rightarrow

Week 2/3 | Lecture Notes

Formal Language and Automata

- Automation is an abstract machine that processes input and changes states based on rules.
- Automata helps us define formal languages, which are sets of valid strings

Example

Gate: has 2 states, locked/unlocked

Limited Memory: dishwashers, electronic doors, thermostats

3 types of Automata

1.) Finite Automata (FA) - Models fixed number of states

- Used in regular expressions/pattern matching
- Can't remember past information

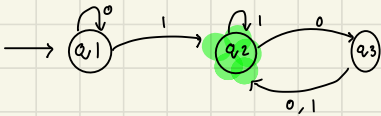
2.) Pushdown Automata (PDA) - Finite Automata with a Stack

- More powerful than finite automata
- Used for parsing

3.) Turing Machines - Most powerful model

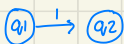
- Used to define what can be computed

Simple Example M1



- q_2 is accepted state
- Must end in 1, or even amount of 0's
- Starts at q_1 , lines are called transitions

Transition function, denoted δ , is the rule for moving between states.



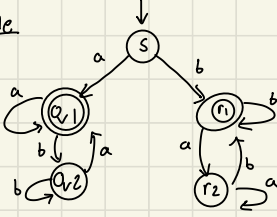
We say $\delta(q_1, 1) = q_2$

Formal Definition for finite automata

- 5 tuples $(Q, \Sigma, \delta, q_0, F)$, where
- 1.) Q is a finite set of states
- 2.) Σ is finite set of Alphabet
- 3.) δ is transition function
- 4.) q_0 is the start state
- 5.) F is the set of accepted states

- If machine M accepts a set of strings, A . Then A is the language of machine M . $L(M) = A$
- A machine can accept many strings, but only recognize one language
- If a machine accepts no strings, the empty language is correct

Example



DFA is already an NFA
(with single transitions)

Accepts: a, b, aa, bb

Rejects: ab, ba, bba

Rule: Accepts all strings that start and end with the same symbol

Regular languages

• Let $M = (Q, \Sigma, \delta, q_0, F)$ be a finite automaton.

Let $w = w_1 w_2 \dots w_n$, where w_i is the member of Σ

M accepts w if r_0, r_1, \dots, r_n exists with 3 conditions

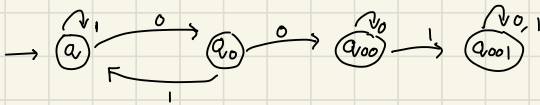
1. $r_0 = q_0$
2. $\delta(r_i, w_{i+1}) = r_{i+1}$ for $i = 0 \dots n-1$
3. $r_n \in F$

- M recognizes language A if $A = \{w \mid M \text{ accepts } w\}$

- language is regular language, if finite automata recognizes it

Example

M only accepts strings containing 001



Regular operations

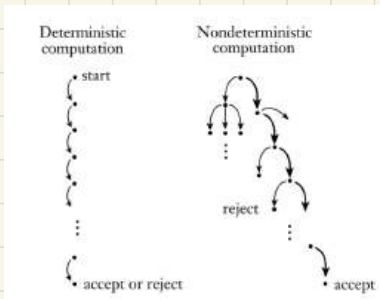
• Union: $A \cup B$, if L_1 and L_2 are regular, so is their union

• Concatenation: $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$

• Star $A^* = \{x_1 x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$ (if L_1 is regular, L^* is regular)

Deterministic vs Nondeterministic Finite Automata (DFA vs. NFA)

- DFA, Exactly one transition per input
- NFA, Can have multiple transitions, and ϵ moves
- Every deterministic finite automata is an NFA
- For NFA, when you have a transition with multiple choices, it creates multiple branches and continues to check proceeding inputs



Epsilon (ϵ) Transitions: Machine can move between states without reading next character.

Having an input alphabet, with single symbol would be unary alphabet.

Non-deterministic Finite Automata

- 1.) Q is finite set of states
- 2.) Σ is a finite alphabet
- 3.) $\delta : Q \times \Sigma \rightarrow P(Q)$ is the transition function
- 4.) q_0 is the start state
- 5.) $F \subseteq Q$ is the set of accepted states

Equivalence

- 2 machines are equivalent, if they recognize the same language
- DFA's and NFA's recognize same class of languages (regular)
- Every NFA has an equivalent DFA
- A language is regular iff some NFA recognizes it.

Converting NFA'S to DFA'S

1) Define DFA States

- DFA states correspond to all subsets of the NFA's states
- If NFA has n states, DFA may have up to 2^n states

2) Handle ϵ transitions

- If the NFA has ϵ moves, we first compute $\epsilon(R)$, reachable states from R .
- $\epsilon(R) = \{ q \mid q \text{ can be reached from } R, \text{ by traveling along 0 or more } \epsilon \text{ arrows} \}$

EX

If state 1 in NFA has ϵ move to state 3, then $\epsilon\{1\} = \{1, 3\}$

3) Construct Transition Function

- Each DFA has 1 transition per input symbol
- New DFA moves to all possible NFA states

$$\delta(R, a) = \{ q \in Q \mid q \in \epsilon(\delta(r, a)) \text{ for some } r \in R \}$$

4) Find Start and Final States

- DFA starts from $\epsilon\{q_0\}$, set of states from ϵ moves
- Any NFA state that's final should be final for DFA.

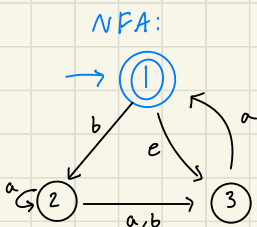
NFA - 3 states

D - $2^3 = 8$ states

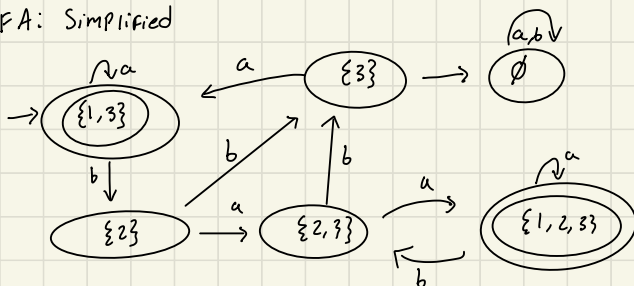
$$\epsilon\{1\} = \{1, 3\}$$

Revised ✖

Example



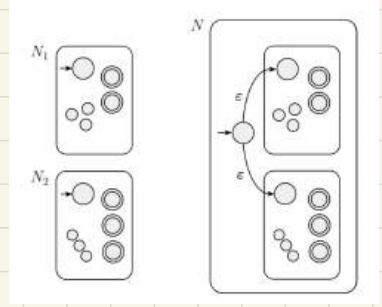
DFA: Simplified



Proofs: How regular languages are closed

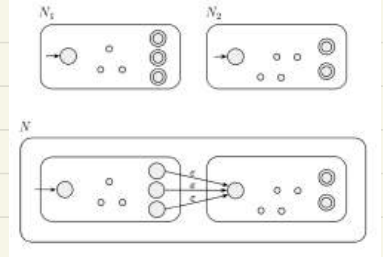
1) closed Under Union ($L_1 \cup L_2$)

- Key Idea: If NFA N_1 recognizes L_1 and NFA N_2 recognizes L_2 , we can construct a new NFA that accepts $L_1 \cup L_2$.
- Create a new start state q_0
- Add ϵ moves to both starting states
- Accept if either N_1 or N_2 are accepted



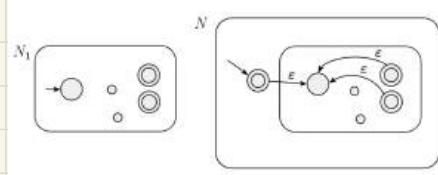
2) Closure Under Concatenation ($L_1 L_2$)

- If $L_1 =$ strings in a^1 , $L_2 =$ strings in a^2
a string must go through both machines in order
- Connect all accepting states of N_1 , to the start state of N_2 , ϵ moves
- ϵ allows switch without consumption input



3) Closure under Star

- A language L^* means any number of repetitions of L
- Add new start state (accepting state)
- ϵ moves from q_0 to start state N
- Add ϵ moves from each final state of N back to the start



1.3) Regular Expressions

- way to describe a set of strings using **Pattern matching rules**
- regular expressions define regular languages

Ex $(0|1)0^*$: Set of all expressions that start with 0/1, followed by any number of 0's.

Regular Operations

- Union (\cup) represents choice (OR)
- Concatenation (\circ , implicit) - joins strings sequentially
- Kleene Star ($*$) - represent repetition

Precedence order \rightarrow Star, Concat, Union

Formal Definition of Regular Expressions

- A single symbol ($a, b, 0, 1$)
- Empty string (ϵ)
- Empty set (\emptyset)
- Union of two regular expressions: $R_1 \cup R_2$
- Concatenation of two regular expressions: $R_1 R_2$
- Kleene Star of a regular expression: R_1^*

Note: Difference between ϵ, \emptyset .
 ϵ is empty string
 \emptyset is empty set

Regex	Description	Example Strings
0^*10^*	A single 1 surrounded by any number of 0's.	1, 01, 00100
1^*11^*	Any string with at least one 1.	101, 110, 11
1^*0011^*	Any string containing 001 as a substring.	001, 1001, 000101
$1^*(01)^*$	Every 0 must be followed by at least one 1.	1, 1101, 1011
$(\epsilon\epsilon)^*$	All strings of even length.	00, 11, 1010
$(\epsilon\epsilon\epsilon)^*$	All strings of length multiple of 3.	000, 110, 101101
$0 \cup 1 \cup 10$	The set {0, 1, 10}.	0, 1, 10
$1^*0 \cup 11^*$	Strings that start with 0 OR end with 1.	01, 1101, 011
$(0 \cup \epsilon)1^*$	Adds either 0 or ϵ before 1^* .	1, 01, 111

Regular Expressions in Programming

- Element objects in programming languages, tokens
- Automatic systems generate **lexical analyzer** (input compiler)

Equivalences

- Regular expressions and finite automata have same power to recognize language
- Regular expression \rightarrow Finite automation

Theorem 1.54 - A language is regular iff some regular expression describes it.

First way:

- Take a RE, R and construct NFA
- Because NFA's can be converted to DFA's, language is regular

Second way:

- Convert DFA to equivalent regular expression
- Every regular language can be expressed using union, concatenation, star
- DFA \rightarrow GNFA (generalized nondeterministic FA)
- GNFA allows transitions labeled with **regex** instead of **single symbols**

What is GNFA

- A GNFA is a variation of an NFA, but with **transitions labeled with regular expressions**
- GNFA (S Topk)

- 1) Q is finite set of states
- 2) Σ is input alphabet
- 3) δ is transition, maps state pairs to regular expressions
- 4) q -start is unique start state
- 5) q accept is accept state

• **GNFA processes** input string, by reading substrings that match regular expressions

• **GNFA has single start state / single accept state**

Converting a DFA to a GNFA.

1) Converting DFA to GNFA

- add new start state and accept states with ϵ transitions from original accepting states
- replace multiple transitions between same states with regular expressions using Union (\cup).

Ex

DFA has

$q_1 \rightarrow q_2$ on a

$q_1 \rightarrow q_2$ on b

GNFA transition is $a \cup b$

2) Remove States Systematically

- remove states one by one, updating transition label.
- when we remove q_{rip} , we need to make sure we don't lose any paths that went through it.

Ex

$q_1 \rightarrow q_{rip}$ with a

$q_{rip} \rightarrow q_{rip}$ with b

$q_{rip} \rightarrow q_2$ with c

$q_1 \rightarrow q_2$ with d

To replace the paths, the transition from $q_1 \rightarrow q_2$, would be $d \cup (ab^*c)$

d was direct path, a,b to get in, c to leave

3) Continue Until only two states remain

- repeat state elimination until only q_{start} and q_{accept} remain

Full example

DFA: $\{1, 2\}$

Transitions

$1 \rightarrow 1$ on a

$1 \rightarrow 2$ on b

$2 \rightarrow 2$ on a, b

- add new start/accept state with an ϵ move from 1 and 2

- update transition formula, $b(a \cup b)^*$

Then final regular expression: $\underline{a}^* b (a \cup b)^*$

accept stat a,
any number of a
followed by 1 b,
then any a,b after.

Week 4/5 Lecture notes

What is Context-Free Language

- Used to describe more complex languages
- CFG's are more powerful, allowing recursive rules
- Used for **Parsing/NLP**

Definition of a CFG

- A CFG is a 4 tuple (V, Σ, R, S)
 - V is a finite **set of variables** (non-terminals)
 - Σ finite set of **terminals** (input symbols)
 - R finite set of **rules (productions)** in form $A \rightarrow w$
 - A is a variable
 - w is a string of terminals
 - S is a **start symbol** which is variable in V .

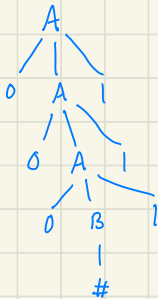
EX

$A \rightarrow 0A1$	S (start symbol): A
$A \rightarrow B$	$V: \{A, B\}$
$B \rightarrow \#$	$\Sigma: \{0, 1, \#\}$

- Derivation is getting a string from a CFG

- 1) $A \rightarrow 0A1$
- 2) $0A1 \rightarrow 00A11$
- 3) $00A11 \rightarrow 000A111$
- 4) $000B111$
- 5) $000\#111$

Parse tree for given derivation



- Root is start node
- leaf nodes are terminals
- branches are rules

A CFG describes a language, which is a set of all possible valid strings. meaning depending on when you end recursion, you can come up with more valid strings.

EX 2

$S \rightarrow (S)$	starting: S
$S \rightarrow SS$	variables: S
$S \rightarrow \epsilon$	terminals: $\{ (,) \}$
	(S) (SS)
	$()$

$S \rightarrow 0S1$	start: S
$S \rightarrow 1S0$	terminals: $\{0, 1\}$
$S \rightarrow \epsilon$	variables: $\{S\}$
	$0S1$
	$01S01$
	" "

* remember epsilon means empty string well balanced parentheses

W has equal number of 0's, 1's

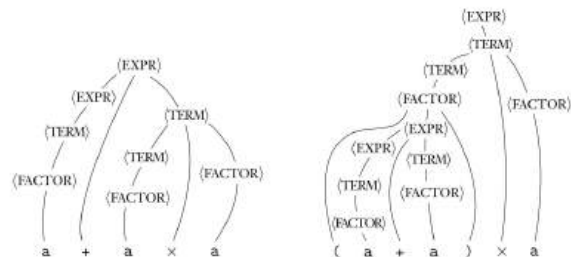
EXAMPLE 2.4

Consider grammar $G_4 = (V, \Sigma, R, \langle \text{EXPR} \rangle)$.

V is $\{\langle \text{EXPR} \rangle, \langle \text{TERM} \rangle, \langle \text{FACTOR} \rangle\}$ and Σ is $\{a, +, \times, (,)\}$. The rules are

- $\langle \text{EXPR} \rangle \rightarrow \langle \text{EXPR} \rangle + \langle \text{TERM} \rangle \mid \langle \text{TERM} \rangle$
- $\langle \text{TERM} \rangle \rightarrow \langle \text{TERM} \rangle \times \langle \text{FACTOR} \rangle \mid \langle \text{FACTOR} \rangle$
- $\langle \text{FACTOR} \rangle \rightarrow (\langle \text{EXPR} \rangle) \mid a$

The two strings $a+axa$ and $(a+a)xa$ can be generated with grammar G_4 . The parse trees are shown in the following figure.



Example using words

- CFG, defines arithmetic expressions groups based on precedence

Note: If any language is regular, you can convert the DFA to CFG

Assign a variable to each state in the DFA and create CFG rules based on DFA transitions

Creating CFG's

- Language can be split up into easier parts

EX $\{0^n 1^n \mid n \geq 0\} \cup \{1^n 0^n \mid n \geq 0\}$

$S \rightarrow 0s_1 1 \mid \epsilon$

$s_2 \rightarrow 1s_2 0 \mid \epsilon$

Grammar:

- $S \rightarrow s_1 \mid s_2$
- $s_1 \rightarrow 0s_1 1 \mid \epsilon$
- $s_2 \rightarrow 1s_2 0 \mid \epsilon$

Ambiguity

- A CFG is ambiguous if a string has two different parse trees
- " $a + a x a$ " is ambiguous, can group addition or multiplication first
- Compilers need unambiguous grammar
- To fix ambiguity use new grammar that enforces precedence

Chomsky Normal Form

- More structured way of writing CFG
- Every CFG has a context free language as a CNF

Form: $A \rightarrow BC$ (B, C, A are variables)

$A \rightarrow a$ (a is terminal)

CFG to CNF Conversion

- 1) Remove ϵ -rules, if $A \rightarrow \epsilon$ exists, remove it and adjust grammar
- 2) Remove unit production, rules like $A \rightarrow B$, where B is var. Replace A directly with the right hand side of B's rules
- 3) Remove long productions, convert $A \rightarrow BCD$ to $A \rightarrow BX$, $X \rightarrow CD$
- 4) Remove mixed terminals, in long productions, $A \rightarrow Bc$ into $A \rightarrow Bx$, $x \rightarrow c$

Ex

$S \rightarrow AB|a$
 $A \rightarrow BC|b$
 $B \rightarrow AC|c$
 $C \rightarrow AB|\epsilon$

1) $S \rightarrow AB|a$
 $A \rightarrow BC|b$
 $B \rightarrow A|AC|a$

$S_0 \rightarrow S$
 $S \rightarrow ASA|aB$
 $A \rightarrow B|S$
 $B \rightarrow b|\epsilon$

2) $S \rightarrow AB|a$
 $A \rightarrow BC|b$
 $B \rightarrow Bx|b|a$
 $x \rightarrow AC$

3) $S \rightarrow AB|a$
 $A \rightarrow BC|b$
 $B \rightarrow Bc|b|AC|a$

Pushdown Automata's (PDA's)

- is a finite state machine + a stack
- more powerful than finite automata
- stack allows counts $0^n, 1^n$, to match

PDA Components: 6 tuple

Q : Set of states
 Σ : input alphabet
 Γ : Stack alphabet
 δ : Transition Function
 q_0 : Start State
 F : set of accept states

NonDeterministic PDA's

- Some languages can only be recognized by nondeterministic PDA's
- Deterministic PDA's \neq Power of the NPDA's

Operations

- Push, Pop, Read

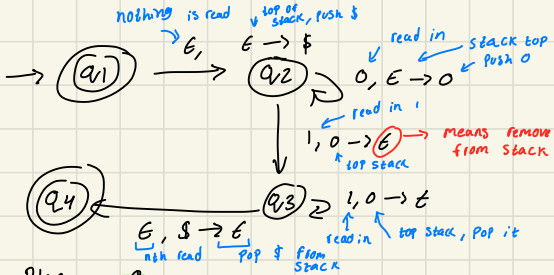
Acceptance Conditions

- Accepts string, if reaches an empty state
- The stack is empty at the end

Example of PDA's

PDA: $\{0^n 1^n \mid n \geq 0\}$

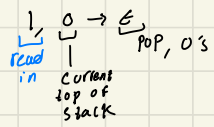
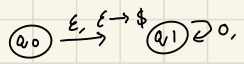
• Can recognize when n is equal by having an empty stack



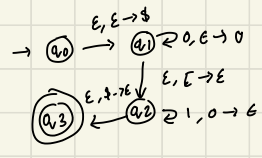
Places $\$$ on stack, then if it sees $\$$ again it knows it's empty

$L = \{0^n 1^n \mid n \geq 0\}$

Transition form:



- Way to think is one element must be pushed/one must be popped
- Matching problem, stack starts empty



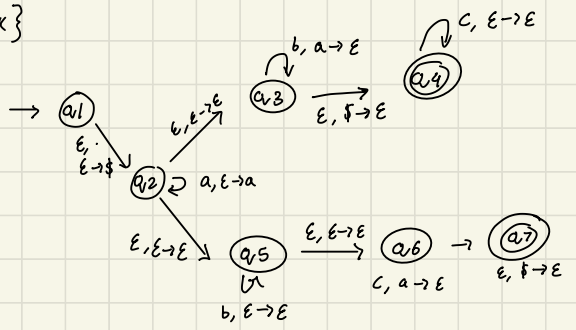
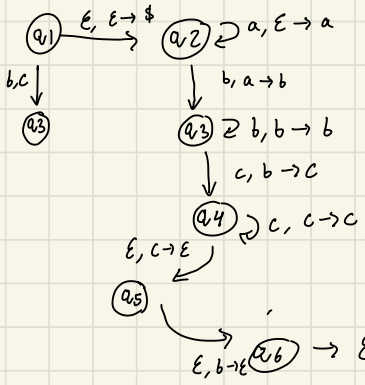
non-determinism \rightarrow at any given state, the PDA can have multiple possible moves for same input.

PDA guesses which transition to take

Deterministic can not recognize all context-free language

Practise:

1) Given: $\{a^i b^j c^k \mid i, j, k \geq 0 \text{ and } i=j \text{ or } i=k\}$



Week 6-8 Lecture Notes

Church Turing Thesis

- Turing machines introduced by Alan Turing in 1936, more powerful model of computation
- Turing machine can compute anything that a real-world computer can compute.

What is a Turing Machine

- A Turing machine (TM) is an abstract machine that reads, writes and moves on an infinite tape.

Key Parts

- infinite tape that stores input and intermediate data
- tape head that moves left (L) or right (R), reading and writing symbols.
- Set of states including start, accept and reject states
- A transition function, which action to take based on current state and tape symbol.

☞ Turing Machines vs. Finite Automata:

Feature	Finite Automaton (FA)	Turing Machine (TM)
Memory	Limited	Infinite tape
Head Movement	One-way read	Read & write, move left/right
Computational Power	Limited	More powerful
Halting	Always halts	May loop forever

Example Turing Machine

$$\{w \# w \mid w \in \{0, 1\}^*\}$$

- This checks two halves of an input are identical separated by #
- moves back and forth across tape to compare characters
- Uses crossing out marks to track checked symbol

Formal Definition of Turing Machine

• 7 tuple: $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$

Where:

1. Q = Finite Set of States
2. Σ = Input alphabet (does not contain \square)
3. Γ = Tape alphabet (includes \square and all input symbols)
4. δ (Transition Table): $Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$
5. q_0 = Start state
6. q_{accept} = accept state
7. q_{reject} = reject state

Configurations and Computation

• Configuration: Snapshot of a TM's state, tape and head position

• Example: $1011q_01111$ means state q_0 is reading the second 0.

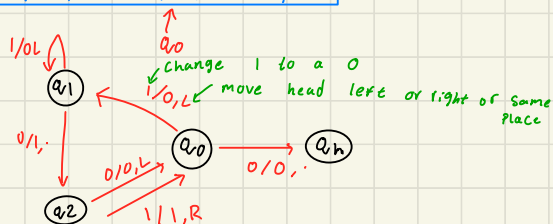
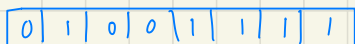
Computation Steps

- 1) Initial Config: starts at q_0 with input on tape
- 2) Transitions applied based on $\delta(q, \alpha) = (r, b, L/R)$

3) Halting Conditions:

- Accept state (q_{accept})
- Reject state (q_{reject})
- Loops forever: never reaches either state.

Ex



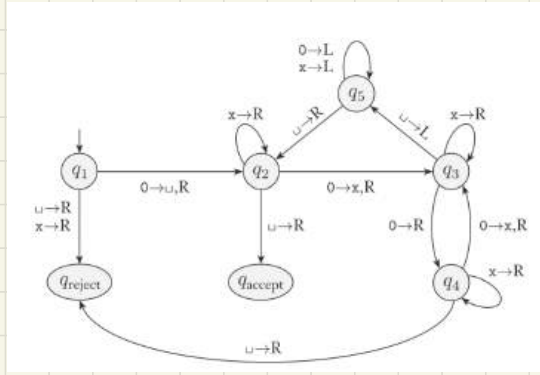
Turing Recognizable vs. Decidable Language

- Turing Recognizable Language: Turing machine can recognize it, may accept but may loop forever if it is not.
- Decidable Language: A Turing machine always halts (accepts/rejects inputs)
- Decider is a TM that always halts and decides a language

EX Language: $A = \{0^{2^n} \mid n \geq 0\}$
(String with 0's whose length is a power of 2)

Algorithm:

- 1.) cross off every other 0 from left to right
- 2.) If only one 0 remains, accept
- 3.) If number of 0's is odd, reject
- 4.) move head back to start and repeat



Variants of Turing Machines

- Turing machines can have different variations, all are computationally equivalent.

Multitape Turing Machines

- Uses multiple tapes instead of one
- Each tape has its own head for reading/writing
- Transition Function:

$$\delta: Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, S\}^k$$

- Equivalent to single tape TM

Non-deterministic TM's

- Can branch into multiple computations at once
- If any branch accepts, NTM accepts
- Equivalent to Deterministic TM, but can be exponentially slower

Enumerators

- TM that prints string in a language
- A language is Turing recognizable iff some enumerator prints its strings.
- Basically: Turing machine \rightarrow printer attached
 - Starts with blank tape \rightarrow prints strings one by one
 - If your string is printed its in the language

2 ways to prove: a language is Turing Recognizable if some enumerator enumerates it

1) Enumerator E , prints out strings: a, b, ab, \dots

\hookrightarrow every time E outputs something compare with your string w , if matching accept

2) If Turing machine M exists that recognizes language, we build enumerator.

How E works:

- List all possible strings in alphabet
 - for step i , run M for i steps
 - if M accepts string, print it
- trying all strings slowly

Key Terms:

Enumerator - generator of strings in language

Recognizer - checker if a string is in language

Equivalent for Turing recognizable languages

Definition/History of Algorithms

- Algorithms are step-by-step instructions for solving a problem
- To prove no algorithm exists, need formal definition
- Any intuitive idea of an algorithm \rightarrow can be done by Turing machine
- Recognizer: accepts correct strings, but may run forever on incorrect ones
- Decider: always give clear yes or no, in finite time

Describing Turing Machines

- Formal Description
- Implementation Level Description
- High-Level Description

Note: Turing machines only take strings as input

\therefore if you want to add graphs, formulas, must first encode it to a string
can encode backwards too

Week 6-8 Exercises

1) $A = \{0^{2^n} \mid n \geq 0\}$

accepts 0^s if the length is power of 2

Start with single $0^{2^0} \rightarrow 2^0 = 1$

\therefore Accept

Input = 00

First pass: $\cancel{0}$ Cross off first 0

then skip one \rightarrow 1 zero remains

$2^1 \rightarrow$ accept

Input = 000, Cross off 1st, skip 2nd, cross off third

\hookrightarrow Left with one unpaired.

Original number of 000 was 3, 3 is odd and not 1

\therefore Reject

Input 000 000

First pass: cross every other \rightarrow left with 3 zeroes

\hookrightarrow 3 is odd and more than 1, reject

Week 8-9 - Decidability

- Some problems can not be solved by any algorithm, these are **unsolvable or undecidable**
- Decidable languages are problems where there is an algorithm, that gives a **correct Yes/No in finite time**.
- DFA accepting string is decidable, NFA's are decidable too but more work
- Undecidable language: may be only partially decidable, there exists no Turing Machine for that language.

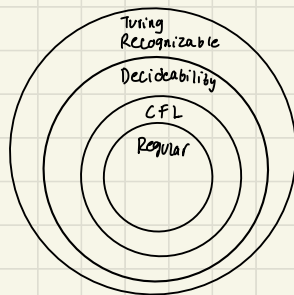
Theorem 4.2

- $A_{NFA} = \{ \langle B, w \rangle \mid B \text{ is an NFA that accepts } w \}$ is decidable
- **Proof Idea:** Converts NFA B into equivalent DFA C
- use TM M to simulate C on w
 - If M accepts, then accept, reject otherwise.

Note: DFA's, NFA's and regular expressions are equivalent in expressive power
Turing machines can convert between them

Theorem:

- Given CFG and string w , does G generate w .
- When there are infinitely possible derivations
 - 1) Convert to CNF,
 - 2) list all possible derivations using $2n-1$ steps



Undecidable

- means there is no Turing machine, that gives us a Yes or No
- Goal: in Software, we want to automatically verify if our program is correct.

↳ **Impossible:** automatic reasoning is limited, real world softwares messy

- Given a TM M , and input w , does M accept w ?

↳ undecidable, can say Yes if M accepts, but may also loop forever

Function Types

- One-to-One: Every input has unique output (**Injective**)
- Onto - Every output has at least one input mapped to it (**Surjective**)
- Bijective = both onto and one to one

- A Set S , is Countable if either it is **finite** or has same **Size as natural numbers**.

Uncountable Sets

- Set is uncountable if it is not possible to list all its elements in a sequence (no correspond with the natural numbers \mathbb{N})
- all finite and countable infinite sets ($\mathbb{N}, \mathbb{Z}, \mathbb{Q}$) are countable
 - ↳ Uncountable sets include: Set of all real numbers, Power set of \mathbb{N} , set of all languages

Correspondance - need to prove all members of \mathbb{N} is Paired with all members of \mathbb{R} (bijection)

Theorem: Some languages are not Turing Recognizable

- there are uncountably many languages yet only countably many Turing machines
- There are more languages than Turing machines
 - ↳ Every Turing machine can be represented as a string \Rightarrow set of all TMs is countable
 - ↳ Set of all languages is uncountable

Proof: $A_{TM} = \{ \langle w, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}$

- Assume decider H , which solves A_{TM}
- Build machine D that uses H , but does opposite.
 - ↳ Run H on $\langle M, M \rangle$
 - If H says M accepts $M \rightarrow D$ rejects
 - If H says M rejects $M \rightarrow D$ accepts
- If D accepts / rejects \rightarrow contradiction. \therefore no decider H exists, $\therefore A_{TM}$ is undecidable

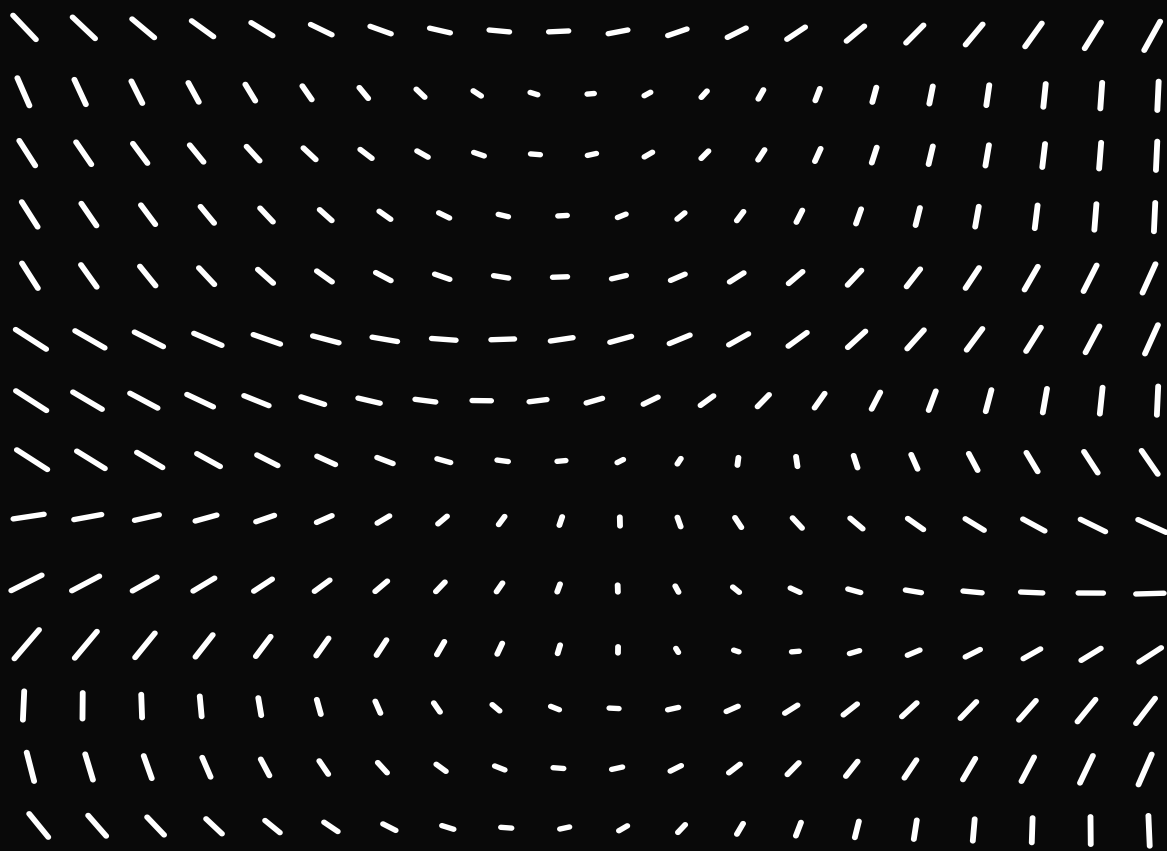
A Turing-Unrecognizable Language

- A_{TM} is undecidable, but it is Turing recognizable. TM can accept input, may run forever etc.
 - ↳ Some languages are not even Turing recognizable. (NO TM can recognize ownership)

Turing Recognizable

- Language A is Turing recognizable if a TM exists s.t. accepts all strings in A , may loop forever on strings not in A
- Language is Decidable \iff it is Turing and co Turing recognizable
- Languages could be Turing Recognizable - but not decidable

Midterm



Review For Midterm

- Given a Set, do Set operations, power set of A, B
- less proofs
- Given function definition, finding domain/range
- Basic definition of graphs / trees.

defined by
vertices and
edges. Draw graph

10-15%

- Given a tree, finding leaves.

Formal Language, NFA/DFA

- Given a DFA definition, draw the DFA and vice versa
- Given a NFA definition, draw the NFA and vice versa
- Conversion NFA to DFA, won't be too many states
- Given regular expression, convert to NFA (can explain in words)
- Given a language, creating NFA/DFA

40-50%

Context-free language

- Given language, identify start, set of variables, find terminals
- Give 3 strings member of the given language, or rejected examples
- Parse String / Derivation at terminal
- Can you design a push down automata for given

30-35%

223 midterm Practise

$S \rightarrow aSb \mid A$ aSb
 $A \rightarrow \epsilon \mid AA$ $aaSbb$
 $aaAbb$

- 1.) $S: \{s\}$ 4.) $aabb, AA, \epsilon$
 2.) $V: \{s, A\}$ 5.) BBB
 3.) $\Sigma: \{a, b\}$

2) aSb
 $aAbb$
 $aaAbb$
 $aaabb$ is a valid string

3) $a: 0, 1, \alpha$
 $\epsilon: 0, 1$

$\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$ When a one is seen, the 0 gets popped.
 Stack alphabet is what you see in the stack and what signals its empty.

PDA = Finite state machine + Stack

$a b a^{\uparrow} b^{\downarrow}$
 $aa b aa b$

Basic Operations, Definitions Stuff

$A = \{1, 2, 3, 4\}$ 2) b, c
 $B = \{3, 4, 5, 6\}$ 3) a, d
 4) f, e

$A \cup B = \{1, 2, 3, 4, 5, 6\}$
 $A \cap B = \{3, 4\}$

\cup - means all elements from both
 \cap - means common elements

$A - B = \{1, 2\}$ elements in A not B
 $A' = \{5, 6, 7, 8\}$

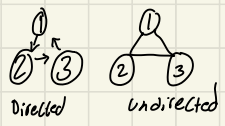
The power set is set of all subsets of A.

$P(A) : A: \{1, 2\}$
 $P(A) = \{\{1\}, \{2\}, \{1, 2\}, \emptyset\}$

If A has n elements, there's 2^n possible subsets of those elements.

Key Terms:

- Degree of vertex, number of edges connected to it
- Undirected graph, Edges have no direction
- Directed, Arrows have directions



Domain and Range Stuff

$f: A \rightarrow B$ maps, A to B
 $x^2, D: \text{all real numbers}$
 $R: \text{only } [0, \infty)$

$f: \sqrt{x}, \text{Domain: } \{x \in \mathbb{R} \mid x \geq 0\}$
 $\text{Range: } \mathbb{R}^+$

$g(x) = \frac{1}{x}, \text{Domain: } \{x \in \mathbb{R} \mid x \neq 0\}$
 $\text{Range: } \mathbb{R}$

$h(x) = |x| - 2, \text{Domain: } x \in \mathbb{R}$
 $\text{Range: } y \geq -2,$

Note: Cross product of two sets are all combinations of two

Language: Set of strings over an alphabet.

$$(A \cap B) \cup (\neg A \cap B)$$

a and b or not a and b

$$B \wedge (A \text{ or not } a)$$

so B

Truth table:
XOR:

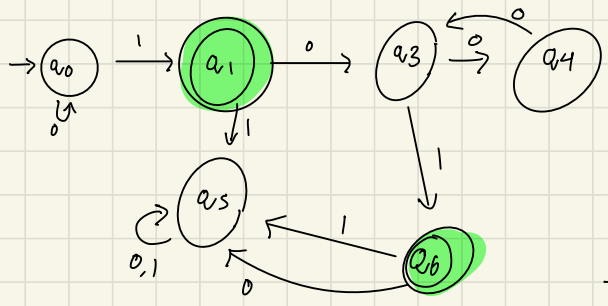
A	B	A XOR B
0	0	0
1	0	1
1	1	0
0	1	1

223 - Midterm Practise - week 2/3 Review

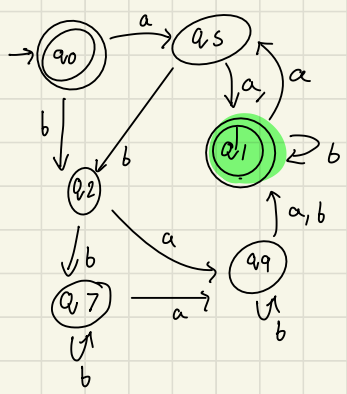
- Automata of changing states, based on inputs
- DFA, has one transition per symbol, no ϵ transitions
- NFA, can have multiple transitions for same input.
- Finite automata 5 tuple $(Q, \Sigma, \delta, q_0, F)$
- Machine can accept many strings, but recognize only 1 language

Ex from AI

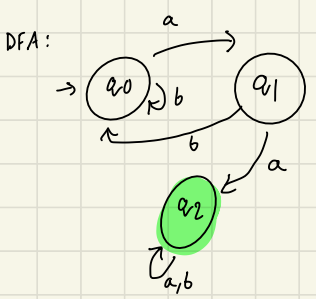
Draw M that recognizes; starts w 1, has odd amt of 0, then ends in 1



DFA diagram for even number of a's atleast 2

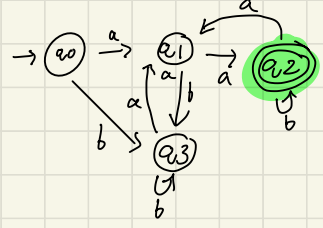


Given the following DFA: $Q = \{q_0, q_1, q_2\}$



$\Sigma = \{a, b\}$
 $q_0 = a_0$
 $F = \{q_1, q_2\}$
 $\delta = \delta$

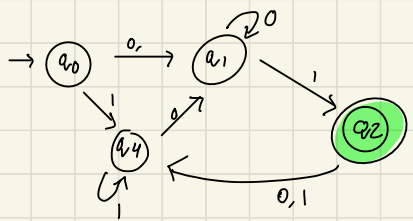
accepts even num of a's



b's are independent.

NFA Diagram For $L = \{w \in \{0,1\}^* \mid w \text{ ends in } 01\}$

Accepted Strings: 01, 0001, 1101, 1001



If ϵ is allowed, starting state must be accepting

More midterm practise - week 2/3 stuff

Drawing NFA/DFA patterns

- Even/odd - have 2 states, flip Δ between even/odd, loop over irrelevant ones
- Ends in " ", tracking states, final states remember characters
- Same amt of x, y. PDA is required

Regex practise

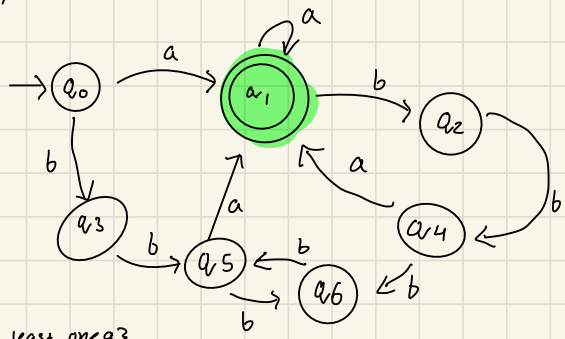
- 1) $(aa)^*bcd\dots z$
- 2) $(0(011)^*0 \mid 1(011)^*1)$
- 3) $(101)^*$
- 4)
- 5) $(01)^*(10)^*$

Convert the regular expression to DFA's

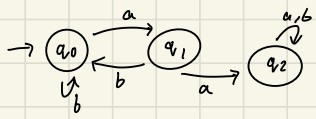
$$\Sigma = \{a, b, c\} \quad M = \{q, \Sigma, \delta, q_0, F\}$$

$a^*(bb)^*a$ Key Idea: any number of a's. any number of two b's and ends in a.

bba, abbbba, aaabba

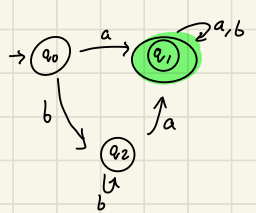


Draw the DFA, given def



Language of $(aa)(a^*b^*)$ starts with 2 a's.

Draw DFA for $L = \{w \in \{a,b\}^* \mid w \text{ contains at least one } a\}$



$\Sigma = \{a, b\}$
 $Q = \{q_0, q_1, q_2\}$
 $\delta:$

	a	b
q_0	q_1	q_2
q_1	q_1	q_1
q_2	q_0	q_2

$F: q_1$
 $q_0: q_0$

$Q: \{q_0, q_1, q_2, q_3\}$

$q_0: \{q_0\}$
 $F: \{q_1\}$

$\Sigma: \{a, b\}$

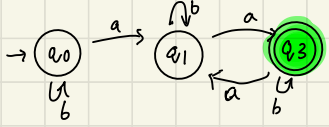
$\delta:$

	a	b
q_0	q_1	q_3
q_1	q_1	q_2
q_2	q_1	q_2
q_3	q_1	q_3

$(01 \mid 10)^*$

Can accept strings with any amount of 01, or 10. OR if 01 and 10 are grouped together.

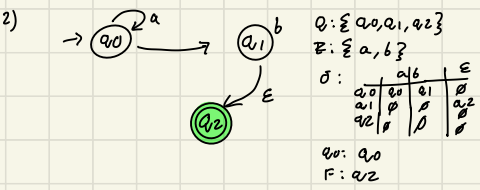
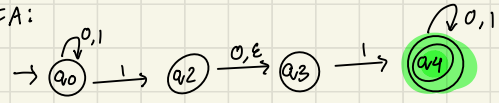
$L = \{w \in \{a,b\}^* \mid w \text{ has an even number of } a\text{'s}\}$



Probably not most efficient, but works

More midterm practice

NFA:

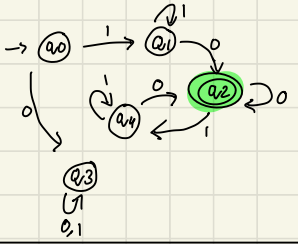


$\delta =$

	0	1	ϵ
q_0	q_0	$\{q_0, q_2\}$	\emptyset
q_1	q_3	\emptyset	q_3
q_2	\emptyset	q_4	\emptyset
q_3	q_4	q_4	\emptyset

4) $(01120)^*$ - To construct NFA:
 any number of 01 or 10's or a combination of them together ie 0110.

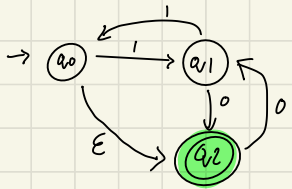
6) $L = \{w \in \{0,1\}^* \mid w \text{ starts with 1, ends with 0}\}$



Converting from NFA to DFA

* Epsilon closure: find all states reachable only via ϵ -transitions

Given NFA:



3 states means $2^3 = 8$, DFA states

① E closure: $q_0 \rightarrow \{q_0, q_2\}$
 $q_1 \rightarrow \{q_1\}$
 $q_2 \rightarrow \{q_2\}$

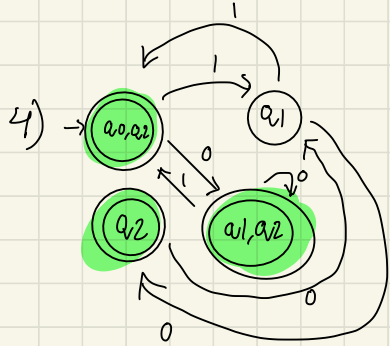
② Potential DFA states: $\{q_0\}, \{q_1\}, \{q_2\}, \{q_0, q_1\}, \{q_1, q_2\}, \{q_0, q_2\}, \{q_0, q_1, q_2\}, \emptyset$

DFA states

- $D_0 \rightarrow \{q_0, q_2\}$
- $D_1 \rightarrow \{q_1\}$
- $D_2 \rightarrow \{q_2\}$
- $D_3 \rightarrow \{q_1, q_2\}$

3) DFA Transition Table

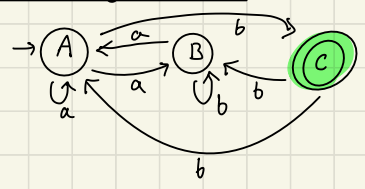
	0	1
$\{q_0, q_2\}$	$\{q_1, q_2\}$	$\{q_1\}$
$\{q_1\}$	$\{q_2\}$	$\{q_0, q_2\}$
$\{q_2\}$	$\{q_1\}$	\emptyset
$\{q_1, q_2\}$	$\{q_1, q_2\}$	$\{q_0, q_2\}$



If q_2 is an accepting state in NFA,
 then $\{q_0, q_2\}, \{q_2\}, \{q_1, q_2\}$ are accepted here.

More Converting Examples

1) NFA:



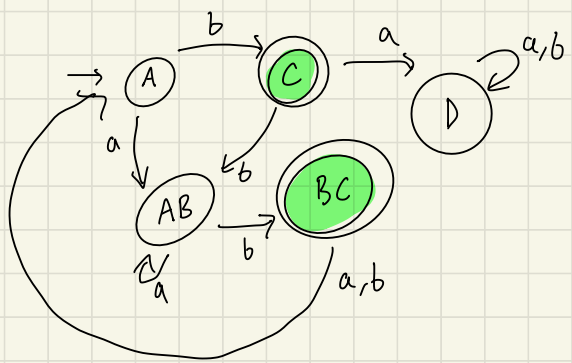
To DFA, no epsilon, C is accepted ones

$2^3 = 8$, possible outcomes, accepted states are $\{C\}, \{A, C\}, \{B, C\}, \{A, B, C\}$

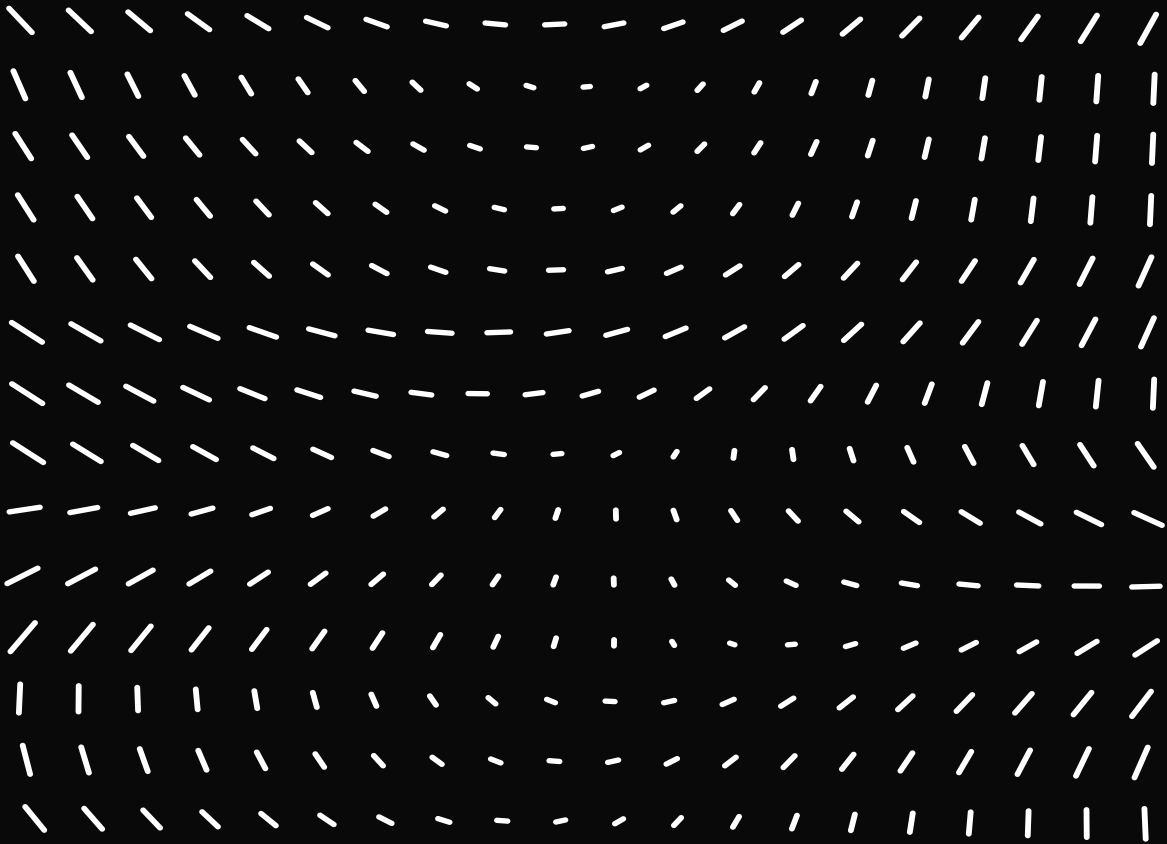
	a	b
$\{\emptyset\}$	\emptyset	\emptyset
$\{A\}$	$\{AB\}$	$\{C\}$
$\{B\}$	$\{A\}$	$\{B\}$
$\{C\}$	$\{D\}$	$\{AB\}$
$\{AB\}$	$\{AB\}$	$\{B, C\}$
$\{A, C\}$	$\{B\}$	$\{A, C\}$
$\{B, C\}$	$\{A\}$	$\{A\}$
$\{A, B, C\}$	$\{A\}$	$\{A, B, C\}$
$\{D\}$	$\{D\}$	$\{D\}$

After eliminating states that only have pointers, that point to himself,

we left w: $\{A\}, \{C\}, \{AB\}, \{B, C\}, \{D\}$



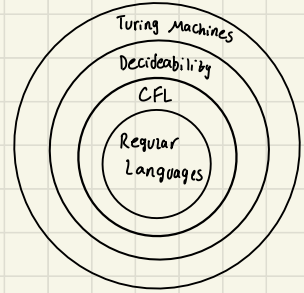
Final Exam Prep



Final Exam Notes

Format of Exam

- will need all 3 hours
- 7/8 questions
- 40% of Final grade
- will be harder than midterm



Regular Language

- 15% { - Regular language (NFA, DFA, converting between them)
- 15% { - CFG, design PDA, → might need state transition diagram
- If regular language, give expression otherwise give the CFG

Turing Machines and Decidability

- 40% { - Turing machine, one design question, implementation level description
- Decidability
- closed under star, union, concat operations
- know the diagram
- simple question: given language, decide if its decidable or not
- Correspondence, sets, countable, simple function, show if its countable or not
↳ find map to natural numbers

- 30% { Algorithm Specification
- write simple function, design pre/post, assertion (8-9 lines of code)
- will contain loops, looping variant/invariant assertions, if else assertions

Exam Prep - Regular Languages Stuff (15%)

Functions/Relations

- A set is a collection of objects, $S = \{7, 21, 57\}$
- \subseteq - subset
- \cup - union
- \cap - intersect
- A' - complement
- cross product: $A = \{1, 2\}$, $B = \{x, y\}$
 $A \times B = \{(1, x), (1, y), (2, x), (2, y)\}$

Languages: Set of strings over an alphabet.

Language is regular if a finite automata recognizes it

3 types of Automata

- 1.) **Finite Automata (FA)** - Models fixed number of states
 - Used in **regular expressions**/pattern matching
 - can't remember past information
- 2.) **Pushdown Automata (PDA)** - Finite Automata with a stack memory structure
 - More powerful than finite automata
 - Used for parsing, **context free** languages ($a^n b^n c^n$)
- 3.) **Turing Machines** - Most powerful model
 - used to define what can be computed
 - **Recursively enumerable** languages, halting and such
 - has infinite tape for reading/writing can move left/right

Regular operations

- Union: $A \cup B$, if L_1 and L_2 are regular, so is their union
- Concatenation: $A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$
- Star A^* = $\{x_1 x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$ (if L_1 is regular, L^* is regular)

Exam Prep - Regular Languages Stuff (15%)

- DFA, **Exactly one transition** per input
- NFA, **Can have multiple transitions**, and **ϵ moves**
- Every deterministic finite automata is an NFA
- For NFA, when you have a transition with multiple choices, it creates multiple branches and continues to check proceeding inputs

Epsilon (ϵ) Transitions: Machine can move between states without reading next character.

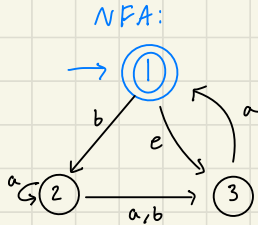
5 Tuple: $\{Q, \Sigma, \delta, q_0, F\}$

Equivalence

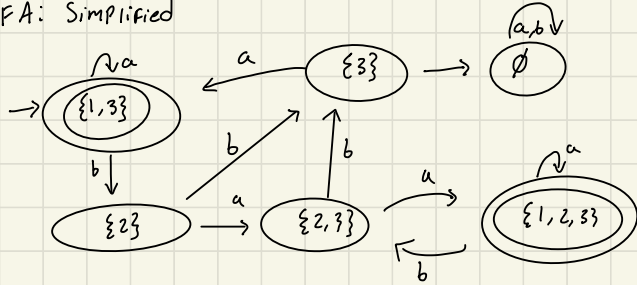
- 2 machines are **equivalent**, if they recognize the same language
- DFA's and NFA's recognize same class of languages (regular)
- Every NFA has an equivalent DFA

NFA \rightarrow DFA

Example



DFA: Simplified



Regular Expressions

- way to describe a set of strings using **pattern matching rules**
- regular expressions define regular languages

Ex

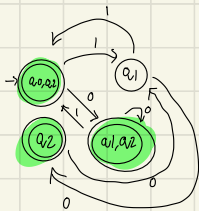
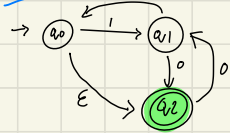
$(0|1)0^*$: set of all expressions that start with 0/1, followed by any number of 0's

Regular Operations

- Union (\cup) represents choice (OR)
- Concatenation (\circ , implicit) - joins strings sequentially
- Kleenes Star ($*$) - represent repetition

CONVERTING NFA TO DFA

Ex 1 NFA:



1) 3 states, means in DFA $2^3 = 8$ states

ε Closure: the set of states you can reach from q by taking 0 or more ε-transitions

$q_0 \rightarrow \{q_0, q_2\}$

$q_1 \rightarrow \{q_1\}$

$q_2 \rightarrow \{q_2\}$

2) List DFA States:

$D_0 \rightarrow \{q_0, q_2\}$

$D_1 \rightarrow \{q_1\}$

$D_2 \rightarrow \{q_2\}$

$D_3 \rightarrow \{q_1, q_2\}$

If q_2 is an accepting state in NFA,

then $\{q_0, q_2\}$, $\{q_2\}$, $\{q_1, q_2\}$ are accepted here.

3) DFA Transition Table

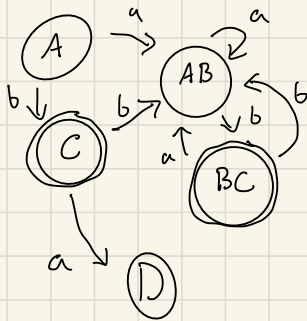
	0	1
$\{q_0, q_2\}$	$\{q_1, q_2\}$	$\{q_1\}$
$\{q_1\}$	$\{q_2\}$	$\{q_0, q_2\}$
$\{q_2\}$	$\{q_1\}$	\emptyset
$\{q_1, q_2\}$	$\{q_1, q_2\}$	$\{q_0, q_2\}$

1) epsilon transitions if there are one

2) look at 2^n possible states, find any states, with atleast one accepted state

3) make transition diagram for DFA, eliminate any unreachable states.

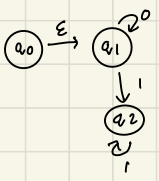
	a	b
\emptyset	\emptyset	\emptyset
A	AB	C
B	A	B
C	D	AB
AB	AB	BC
AC	A	BC
BC	A	BA
ABC	AB	ABC
D, D	D	D



Keeping states $\{A\} \{C\} \{AB\} \{BC\}$

More Converting Examples

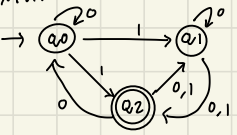
- 1) NFA:
 $Q: \{q_0, q_1, q_2\}$
 $\Sigma: \{0, 1\}$
 $\delta:$
 $q_0: \{q_0\}$
 $F: \{q_2\}$



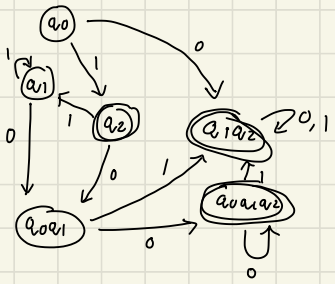
3 states: $2^3 = 8$ possible states
 1) epsilon transitions
 $q_0: \{q_0, q_1\} \rightarrow$ must be in
 $q_1: q_1$
 $q_2: q_2$

	0	1	Σ
\emptyset	\emptyset	\emptyset	\emptyset
q_0	?	?	q_1
q_1	q_1	?	\emptyset
q_2	?	q_2	\emptyset
q_0q_1	q_1	q_2	\emptyset
q_0q_2	q_1	q_2	\emptyset
q_1q_2	q_1	q_2	\emptyset
$q_0q_1q_2$	q_1	q_2	q_1

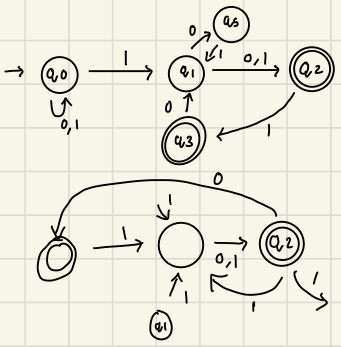
2) NFA:



	0	1
\emptyset	\emptyset	\emptyset
q_0	q_1q_2	q_2
q_1	q_0q_1	q_1
q_2	q_0q_1	q_1
q_0q_1	$q_0q_1q_2$	q_1q_2
q_0q_2	q_0	q_1q_2
q_1q_2	q_1q_2	q_2q_1
$q_0q_1q_2$	$q_0q_1q_2$	q_1q_2



3)



Exam Prep - Context Free Grammar (15%)

Context-Free Language / Grammar

- way to describe more complex languages, (may use recursion)
- Context free grammars are a way to describe a context free language

Definition of a CFG

- A CFG is a 4 tuple (V, Σ, R, S)
 - V is a finite set of variables (non-terminals)
 - Σ finite set of terminals (input symbols)
 - R finite set of rules (productions) in form $A \rightarrow w$
 - A is a variable
 - w is a string of terminals
- S is a start symbol which is variable in V .

$A \rightarrow 0A1$ S (start symbol): A

$A \rightarrow B$ $V: \{A, B\}$

$B \rightarrow \#$ $\Sigma: \{0, 1, \#\}$

- terminals: actual character/tokens of language
- non terminals: symbols that can be replaced using production rules
- start symbol: usually S
- production rules: different choice of expressions

Example

- For language: $\{a^n b^n \mid n \geq 0\}$, describe CFG
- CFG: $S \rightarrow aSb \mid \epsilon$
- Idea: For every a , you add a b at the end \rightarrow perfectly matched

2) $\{a^n b^m \mid n \geq 0\}$

any number of a 's, followed by any number of b 's

$S \rightarrow aS \mid B$

$B \rightarrow bB \mid \epsilon$

3) $\{w \in \{a, b\}^* \mid w \text{ is palindrome}\}$

$S \rightarrow aSa \mid bSb \mid a \mid b \mid \epsilon$

4) $\{w \mid w \text{ has more } a\text{'s than } b\text{'s}\}$

• not context free, because CFL's can't compare direct counts unless strictly paired

5) $\{a^n b^m c^n \mid n \geq 0, m \geq 0\}$

$S \rightarrow aSc \mid B$

$B \rightarrow bB \mid \epsilon$

TIPS

- Design CFG for all strings over $\{a, b\}$
 $S \rightarrow aS \mid bS \mid \epsilon$
- Palindrome: $S \rightarrow aSa \mid bSb \mid a \mid b \mid \epsilon$
- Exactly two 1's: $S \rightarrow A1A1A$
 $A \rightarrow 0A \mid \epsilon$

Exam Prep - Context Free Grammar (15%)

Pushdown Automata Stuff

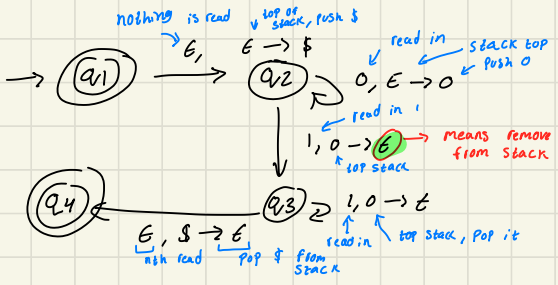
- finite state machine with a stack
- **Stack** - like memory structure
- allows $0^n, 1^n$ to match elements
- For **context free languages**

Acceptance Conditions

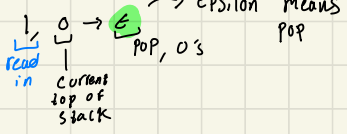
- **Accepts** string, if reaches an **empty state**
- The stack is empty at the end

Example

PDA: $\{0^n 1^n \mid n \geq 0\}$



Transition form.



PDA Components: 6 tuple

- Q : Set of states
- Σ : input alphabet
- Γ : Stack alphabet
- δ : Transition function
- q_0 : Start State
- F : set of accept states

Key Ideas:

- Start by Pushing $\$$ to stack to know, if it is empty.
- Can recognize when two values n are the same when the stack is empty

non-determinism \rightarrow at any given state, the PDA can have multiple possible moves for same input.

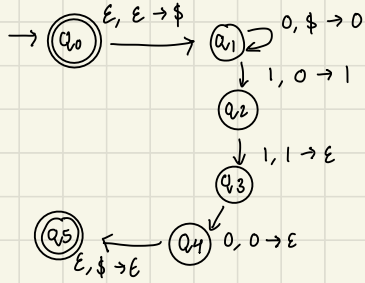
PDA guesses which transition to take

Deterministic can not recognize all context-free language

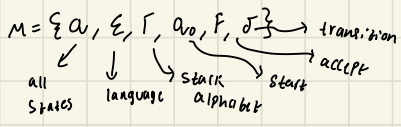
Rough work / PDA questions

PDA for $\{0^n 1^m 0^n \mid n \geq 1, m \geq 0\}$

Same number of 0's to start and end it, with any number of 1's in the middle



input	0	1	ε	0	1	ε	0	1	ε
stack									
q0	∅	∅	{q1, \$}	∅	∅	∅	∅	∅	∅
q1	{a2, 0}	{a2, 1}	∅	∅	∅	∅	∅	∅	∅
q2									
q3									

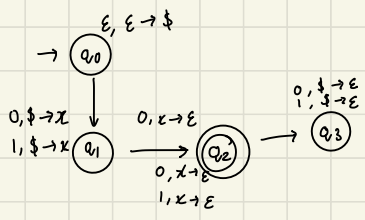


Problem: $\{w \in \{a, b\}^* \mid w \text{ is palindrome}\}$

- Push \$ to stack
- Read a/b and push to stack
- guess middle of string PDA non deterministically, read ε
- pop the stack matching the pushed stuff
- accept if \$ is read again

Assignment 2 - a1

- Language is where second half has at least one 0
- Idea: string is divided into 2 parts, second part should have a 0
- ↳ must track halfway point
- Push x for every input in first half
- When 0 read, pop till end of string
- reject if \$ is seen or before input ends
- ↳ If there still x in stack, we know its second half



For Transition Table

- For each combination of input symbol and stack top, write the state you go to and what is added/removed from the stack.

CFG: For seeing a 0 in second half of word

$$x: \{ \epsilon, v, r, s \}$$

$$\epsilon = \{ 0, 1 \}$$

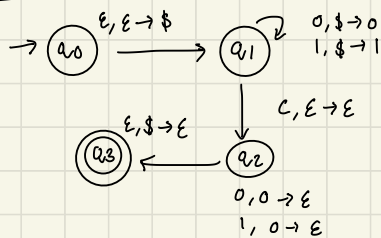
$$v = \{ A, B \}$$

$$r = A \rightarrow 1A1 \mid 1B0 \mid 0A1 \mid 0B0$$

$$B \rightarrow 1B1 \mid 1B0 \mid 0B1 \mid 0B0 \mid 011 \mid \epsilon$$

B ensures at least one 0 was seen in second half

A2Q2 find w then split using c then reverse w



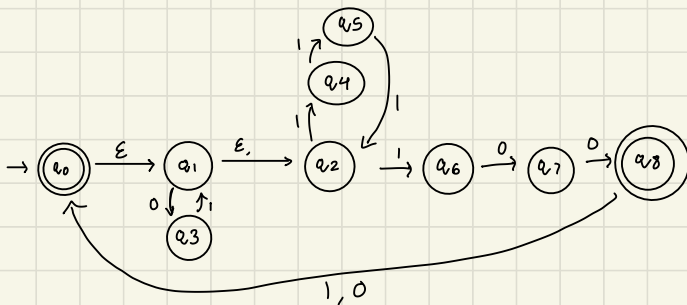
Exam Prep - Redoing Midterm

- i) No, A is not a subset of B, not all of A is in B.
- ii) B is not a subset of A
- iii) $A \cap B: \{a, b\}$
- iv) $A \times B: \{aa, bb, ca, ab, ca, cb, a1, b1, ba\}$
- v) Power Set of B: $\{a, b, 1, ab, a1, b1, a1b1, \emptyset\}$

Cartesian product should have 2^2 elements in it

Power set should include $2^3 = 8$ elements

2) Give NFA recognizing $((01)^*(111)^*100)^*$



Language is broken into 3 parts: $(01)^*$, $(111)^*$, 100

Formal 5 Tuple: $M = \{Q, \Sigma, \delta, q_0, F\}$

$Q: \{q_0, q_1, q_2, q_3, q_4, q_5, q_6, q_7, q_8\}$

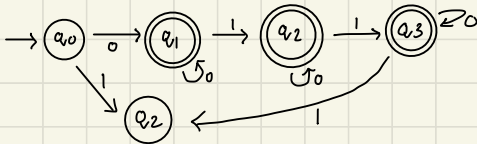
$\Sigma: \{0, 1\}$

$F: \{q_0, q_8\}$

δ : Transition function

	0	1	ϵ
q_1			
q_2			
\vdots			

3) a) DFA for $\{w \mid w \text{ starts with } 0 \text{ and has at most two } 1\text{'s}\}$



b) $\{w \mid w \text{ contains at least one } 1 \text{ and at least two } 0\text{'s}\}$

$F: M \{Q, \Sigma, \delta, q_0, F\}$

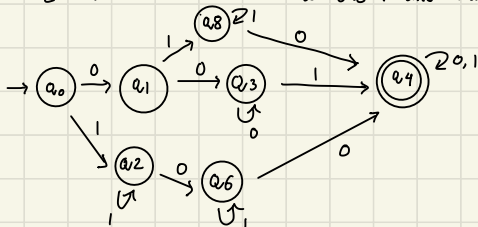
$Q: \{q_1 - q_7\}$

$\Sigma: \{0, 1\}$

δ :

$q_0: q_0$

$F: q_4$



Q5) Regular expressions

i) at least two 0's at most one 1

All options: 000^* , 00^*100^* , 1000^* , 000^*1

Then union all options $000^* \cup 00^*100^* \cup 1000^* \cup 000^*1$

ii) strings with 011 as prefix

$011(011)^*$

iii) all strings with 101 as substring

$(011)^*(101)(011)^*$

6) Variables: $\{S\}$

terminals: $\{0, 1, \epsilon\}$

Start variable: $\{S\}$

Variable: $\{S\}$

iv): $110, 101, 011, \epsilon$

v): $1111, 0000, 0, 1, 010$

vi): set of all strings over $\{0, 1\}$ where number of 1's is exactly twice number of 0's.

Example, 4.1 Exercise

a) $\langle M, 0100 \rangle \in A_{DFA}$ $0^*(11)^*0$

Accepted

b) $\langle M, 011 \rangle \in A_{DFA}$

Rejected

c) $\langle M \rangle \in A_{DFA}$

? Is there some string M accepts?

d) $\langle M, 0100 \rangle \in A_{Reg}$

• All DFA's recognize regular languages

• Every DFA language can be written as expression

e) Is $\langle M \rangle \in E_{DFA}$

• Is this language empty, no

f) $\langle M, M \rangle \in E_{DFA}$

• Does the model accept same strings, yes

Exam Prep - Turing Machines / Decidability (40%)

Turing Machines Overview

- Powerful model, abstract machine, reads, writes and moves on an infinite tape

Key Parts

- infinite tape that stores input and intermediate data
- tape head that moves left (L) or right (R), reading and writing symbols.
- Set of states including start, accept and reject states
- A transition function, which action to take based on current state and tape symbol
- May loop forever, follow mechanical procedures

Formal Definition of Turing Machine

- 7 tuple: $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$

Transitions

$a \rightarrow y, R$

Reads a , change it to y , move right

$y, \sqcup \rightarrow R$

Process more

Example 1

$L = \{w \in \{0,1\}^* \mid w \text{ has equal number of 0's and 1's}\}$

Idea: match up 0's with 1's by marking them off one by one.

- 1) Find unmarked 0, mark it with X. Scan right till 1 is found mark it
- 2) Return to start of tape, all symbols should be marked or matched

- As long as it is computable, there exists a Turing machine that always halts with yes or no for any inputs. (Decidable)

Example 2

$w \in \{0,1\}^*$, such that w has twice as many 0's as 1's

Idea: Start at head, scan right, find a 1, then mark it then find 2 zeroes to mark off, then find the next 1.

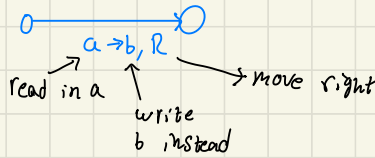
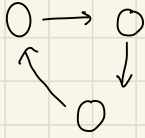
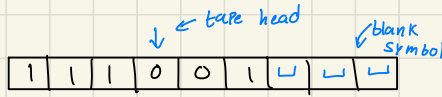
- Replace 1 with y and every 0 with X.

- Control portion of your Turing machine is deterministic
- Nondeterministic TMs are equivalent to deterministic and give no advantage. In fact, makes it slower.

Exam Prep - Turing Machines / Decidability (40%)

Visual into Turing Machines

Control portion is still deterministic



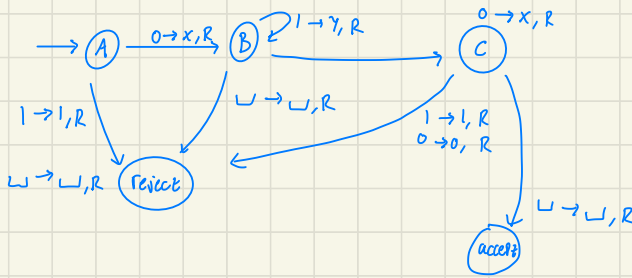
AT Each Step:

- Read current symbol
- Replace and write
- move one cell Left/Right

In a Turing machine, computation can:

- 1) Halt and Accept
- 2) Halt and Reject
- 3) Loop

Example 1) $L = 01^*0$



Idea:

- In State A, must start with 0, if a 1 is read we reject it.
- Then we read a 1, make it y, go on to C then move right, if we see blank accept
- We send to reject state if blank is read prematurely, starts with 1, or ends in 1.

A2 a4)

$$L = \{ w x w^R \mid w \in \{a, b\}^*, x \in \{a, b\} \}$$

- Palindromic structure
- x marks middle character

$$M = \{ Q, \epsilon, \Gamma, \delta, q_0, \text{accept}, \text{reject} \}$$

Idea: we start at q_0 and mark a/b with x until we find an x. This is the halfway point. When we see this x, switch modes into checking symmetry

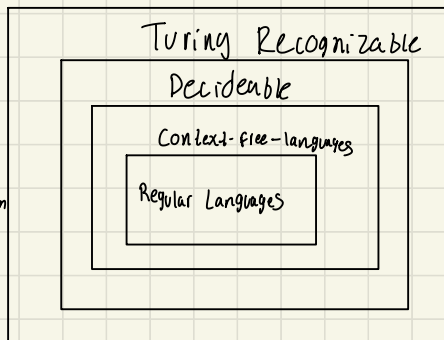
Then, we keep scanning to find last unmarked a/b.

Then, we scan left from x to compare it with the elements on the left. If at any point there's mismatch we reject.

Exam Prep - Turing machine / Decidability (40%)

Turing Machines and Decidability

- **Decidable**: TM always halts and gives yes/no in finite time
- **Turing Recognizable**: TM accepts all strings in the language, may loop forever if input is not in the language
- **Co-Turing-Recognizable**: The complement is Turing recognizable
- **undecidable**: No TM always halts and gives correct answer
- **Unrecognizable**: No TM can even recognize it



Decidable Languages Closure Properties

- **Decidable languages are closed under**: Union, Concat, Star, Intersection, Complement
- **Turing Recognizable are closed under**: Union, Concat, Star
- **Turing complement is not always recognizable**

How to prove language is Decidable

- **Must describe Turing Machine that halts on all inputs**
- ↳ **Must show simple algorithm (counting/matching/simulating)**

Example: Given $\langle M, w \rangle$, simulate M on w for all steps. If M halts, accepts, rejects otherwise. This language can be **Turing recognizable**, but not decidable if it **loops forever**

To prove a language is Turing-Recognizable not Decidable

- Show that a TM accepts when input is in language but may loop forever otherwise.
- Show halting problem is standard
- **all decidable languages are recognizable.**

Closure Properties

- Applying that operation to languages in the class produce a new language, also in that class

Ex) Given L_1 is decidable, L_2 is Turing recognizable, is $L_1 \cup L_2$ Turing recognizable.

Yes, both are closed under union, decidable \subseteq Turing recognizable

all decidable languages are Turing recognizable

Exam Prep - Turing Machines / Decidability (40%)

Counting Question

• A set is countable if elements can be put in one-to-one correspondence with the natural numbers \mathbb{N} .

To Prove a Set is Countable:

- 1) Build correspondence a function mapping
 - ↳ Design rule that assigns each element a unique number
- 2) List the elements in some clear order
 - ↳ This is a countable list, naturally maps to \mathbb{N} .

Example 1) Show the set of all finite binary strings is countable?

$$S = \{ \epsilon, "0", "1", "01", \dots \}$$

Because we can order these by length (lexicographic order) this gives us a listable order, mapping, \therefore Countable

EX2) Set of all TM's

Each Turing machine can be encoded into a finite string over $\{0,1\}$

- The set of all TM's \subseteq set of finite binary strings
- \therefore Countable

- 1) Set of all even natural numbers \checkmark Countable (order direct mapping $f(n)=2n$)
- 2) Set of all Python programs \checkmark Countable (can be encoded as binary, then countable)
- 3) Set of real numbers between 0-1 \times NOT Countable (real numbers, will miss some)
- 4) Set of all infinite binary strings \times not Countable (infinite means infinite positions, many more than \mathbb{N})
- 5) Set of rational numbers \mathbb{Q} , \checkmark Countable (Superset of integers, can be listed)

Cantors Diagonal Argument

- Diagonalizes list to find number not in list
- Builds counter example
- After listing all subsets, there will always be one not in list?

Exam Prep - Specifying Algorithms (30%)

What is Specifying an Algorithm

- logic based annotation
- Pre condition \rightarrow Should be true before the function starts
- Post Condition \rightarrow Should be true after it finishes
- loop invariant \rightarrow Always true during loop
- Assertion \rightarrow Boolean condition, checked at specific program
- loop invariant proves termination

Declarative Interface

- all static properties, variables, properties of list.
 \hookrightarrow const \rightarrow won't change (# of entries, target)

Code Example

```
bool present = false;
for (int i = 0; i < n; i++) {
    if (A[i] == x) {
        present = true;
        break;
    }
}
```

Pre condition

$0 \leq n \leq \text{max}$

loop invariant

we haven't found x yet

loop variant

Proving it will terminate

* Loop invariant is pre condition and post condition combined and related to n.

* Loop invariant should be in the loop

Example 2

```
int search (int[] A, int n, int x) {
    for (int i = 0; i < n; i++) {
        if (A[i] == x) return i;
    }
    return -1;
}
```

Pre Condition: $0 \leq n \leq \text{max}$

A is an array of length $\geq n$

Post Conditions:

Return = -1 for all indexes 0 to n-1, that is not x

Return = i such that i is found in array

Loop invariant:

Haven't found x yet.

Sample Declarative interface

```
const int max; /* maximum number of entries */
typename Entry; /* type of entries, use == for equality */
const int n; /* number of entries */
const Entry x; /* search target */
Entry A[max]; /* A[0:n-1] are the entries to search */
bool present; /* search result */
```

- will look similar for search functions

\forall - for all

\exists - there exists

- Assertions used to provide useful documentation
- Entry is placeholder for some datatype

Complete Specification

- Declarative Interface
- P, & pre/post condition

Rough Notes for Specifying Algorithms

Chapter 1

- Specifications are like designing specific instructions for algorithms
- Entry is placeholder type, $==$ to compute
- Not allowed to use ands between Quantifiers

- a) all elements in array equals x
 $\forall (i=0; i < n) A[i] == x$
- b) $\forall (i=0; i < n) \forall (j=0; j < n) A[i] == A[j]$
- c) $\forall (i=0; i < n) A[i-1] < A[i]$
- d) $\exists (i=0; i < n) A[i] == x$ and
 $\forall (j=0; j < n) (i \neq j \Rightarrow A[j] \neq x)$

1.12) $x \in A[a:b-1]$ mean when $b \leq a$

When $b \leq a$, the range $[a:b-1]$ is empty there's no elements.

so:

$x \in A[a:b-1]$ means x is in empty set

Vacuous truth: universal statement over empty set is considered true because nothing contradicts it

1.24) Find Declarative interface for max finder

Const int n; Precondition
 Const int max; $0 \leq n \leq \text{max}$
 Const Entry A[max]; Post
 Entry largest;

$x = A[a:b-1]$ vs $x \in A[a:b-1]$
 ↓ ↓
 x is equal x is in
 to whole segment segment

$\forall (i=0; i < n) A[i] \leq \text{largest}$ and
 $\exists (j=0; j < n) A[j] == \text{largest}$

Post Conditions

Present iff \exists exists $(k=0; k < n) A[k] = x$

Present $\Leftrightarrow x \in A[0:n-1]$

Present is true only if x is in array

Present iff $x \in A[0:n-1]$ when $n=0$?

$x \in$ an empty set which is false

for all elements in the 1.24 largest is larger and there exists an element at index i which is the largest.

Pre conditions

$0 \leq n \leq \text{max}$

- no conditions on x and present

- ghost variable A_0

Rough Notes for Specifying Algorithms

Chapter 2)

- Pre Condition: $0 \leq n \leq \max$ and $A = A_0$
- Post Condition: Present iff x in $A[0:n-1]$ and for all $(i=0, i < n), A[i] = A_0[i]$
- Risky logic $A[i] \neq x$ and $i < n$;

should switch them

• for (; ;) \rightarrow infinite loop body

• $\{P\} C \{Q\}$

\hookrightarrow Hoare-style correctness statement

to find Pre Condition sub x into Post Condition

$P(x = x+1) \ x = 1$;
 Replace \rightarrow $x+1 = 1$
 $x = 0$
 Pre Condition

Formal proof

- Sequence of logical statements that prove correctness

- Axiom
- Math fact
- rule of inference

PROOF TABLEAU - annotated version of program

shows: Assertions before/after every statement

Assert $(n < 0)$	Assert $(n \neq 0)$
Fact $(n < 0 \Rightarrow n > 0)$	Fact $(n < 0 \Rightarrow n^2 > 0)$
$n = n \cdot n$	$n = n \cdot n$
Assert $(n > 0)$	Assert $(n > 0)$

Practise Problems

Declarative interface

```
const int n;
typename Entry A[n];
const int count;
```

```
int i = 0;
int count = 0;
while (i < n) {
  if A[i] > 0;
  count += 1;
  return count;
}
Pre Condition  $0 \leq i < n \rightarrow 0 \leq n \leq \max$ 
invariant:  $\forall (i | i < n)$  and  $count + 1$  if  $x$  in  $A[0:i-1]$ 
Post Condition:  $count \geq 0 \rightarrow \{ \exists k \in [0:n-1] | A[k] > 0 \}$ 
loop invariant:  $n-1$ 
```

Declarative interface

```
Entry min;
const int n;
typename Entry A[min];
Entry A[i];
```

```
min = A[0]
for (i = 1 : n-1)
  if (A[i] < min)
    min = A[i]
```

```
Assert  $(0 \leq i < n)$ 
while (i < n-1)  $\leftarrow$  loop invariant  $(0 \leq i < n)$  and  $A[\min] < A[0:i]$ 
  if  $A[i] < A[\min]$ ;
  min = A[i]
```

Post Condition $min \leq \forall A[i]$
 $\forall (i < n; A[\min] \leq A[i]; A[\min] \neq A[i])$

$\forall i \in [0:n-1], min \in A[i]$
 $\exists k \in [0:n-1],$ such that $A[k] = min$